

# Realizability in classical logic

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## Introduction

The essential aim is to explore the *Curry-Howard correspondence* : we want to associate a program with each mathematical proof and to consider each theorem as a *specification*, i.e. to find the common behavior of the programs associated with every proof of this theorem. It is a very difficult and very interesting problem, which I call the “ specification problem ” in what follows.

In the first place, we must give the *programming language* in which we write these programs, and also the *machine* which will execute them. This is done in the first section, it is the “ program side ” of the correspondence. As we shall see, this programming frame is very similar to usual *imperative* programming. As the theory develops, many usual and important notions of imperative and system programming will naturally appear, such as : storage and call-by-value for datas, pointers, signature of files, system clock, boot, ...

Then we must give a computational content to each logical rule and each axiom. We do this by means of *elementary instructions*. The instructions for the rules of intuitionistic propositional logic have been found long time ago, at the very discovery of the Curry-Howard correspondence ; the programming language was Church’s lambda-calculus. Then the instructions for intuitionistic *second order logic* were obtained and the programming language was still the same.

But mathematical proofs are not done in intuitionistic logic, not even in pure classical logic. We need *axioms* and the usual axiom systems for mathematics are :

1. Second order classical Peano arithmetic with the axiom of dependent choice ; this system is also called “ Analysis ”.
2. Zermelo-Frænkel set theory with the axiom of choice.

In this paper, we consider the first case. We shall give new elementary instructions for the lacking axioms, which are : the excluded middle, the axiom of recurrence and the axiom of dependent choice. It is necessary, for that, to define an extension of lambda-calculus. We notice that some of these instructions are *incompatible with  $\beta$ -reduction*. Therefore the execution strategy is deterministic and is given in the form of a weak head reduction machine.

The same machine is used for Zermelo-Frænkel set theory. The instructions associated with the axioms of ZF are given in [7]. The full axiom of choice remained an open problem until recently (may 2005). The new instructions necessary for this axiom and also for the continuum hypothesis will be given in a forthcoming paper.

## Terms, stacks, processes, execution

We denote by QP the set of *closed*  $\lambda$ -terms built with some set of constants which are called *instructions*; one of these instructions is denoted by cc. We shall denote the application of  $t$  to  $u$  by  $(t)u$  or  $tu$ ; the application of  $t$  to  $n$  arguments  $u_1, \dots, u_n$  is denoted by  $(t)u_1 \dots u_n$  or  $tu_1 \dots u_n$ . Therefore, we have  $tuv = (t)uv = (tu)v$  with this notation.

Elements of QP are called *proof-like terms*.

Let  $L \supset QP$  be the set of *closed*  $\lambda$ -terms built with a new constant  $k$  and a set  $\Pi_0 \neq \emptyset$  of new constants called *stack constants*.

A closed  $\lambda$ -term of  $L$  of the form  $kt_1 \dots t_n \pi_0$  with  $n \in \mathbb{N}$ ,  $t_1, \dots, t_n \in L$  and  $\pi_0 \in \Pi_0$  is called a *continuation*.

A  $\lambda_c$ -term is, by definition, a closed  $\lambda$ -term  $\tau \in L$  with the following properties :

- each occurrence of  $k$  in  $\tau$  appears at the beginning of a subterm of  $\tau$  which is a continuation ;
- each occurrence of a stack constant in  $\tau$  appears at the end of a subterm of  $\tau$  which is a continuation.

### Remark.

Proof-like terms are therefore  $\lambda_c$ -terms which do not contain the symbol  $k$  or, which amounts to the same thing, which do not contain any stack constant.

The set of  $\lambda_c$ -terms is denoted by  $\Lambda_c$ . In what follows, we almost always consider only  $\lambda_c$ -terms ; so, they will be called simply “ terms ” or “ closed terms ”.

**Lemma 1.** *i)  $QP \subset \Lambda_c$  ;*

*ii) If  $tu \in \Lambda_c$  and  $u \notin \Pi_0$ , then  $t \in \Lambda_c$  and  $u \in \Lambda_c$  ;*

*iii) If  $tu \in \Lambda_c$  and  $u \in \Pi_0$ , then  $tu$  is a continuation ;*

*iv) If  $\lambda x t, u \in \Lambda_c$ , then  $t[u/x] \in \Lambda_c$  ;*

*v) If  $t_1, \dots, t_n \in \Lambda_c$  ( $n \in \mathbb{N}$ ) and  $\pi_0 \in \Pi_0$ , then  $(k t_1 \dots t_n) \pi_0 \in \Lambda_c$ .*

i) Trivial.

ii) Consider an occurrence of  $k$  (resp. of a stack constant  $\pi_0$ ) in  $t$  or  $u$  ; it is therefore in  $tu$ . Since  $tu \in \Lambda_c$ , this occurrence is at the beginning (resp. at the end) of a continuation  $kt_1 \dots t_n \pi_0 = (k t_1 \dots t_n) \pi_0$  which is a subterm of  $tu$ . Now  $u \neq \pi_0$ , so that this subterm is not  $tu$  itself ; thus,  $kt_1 \dots t_n \pi_0$  is a subterm of  $t$  or  $u$ .

iii) We have  $u = \pi_0 \in \Pi_0$  and this occurrence of  $\pi_0$  is at the end of a subterm of  $t\pi_0$  which is a continuation  $(k t_1 \dots t_n) \pi_0$ . Therefore, this subterm is  $t\pi_0$  itself.

iv) Consider an occurrence of  $k$  (resp.  $\pi_0$ ) in  $t[u/x]$  ; thus, it is in  $\lambda x t$  or  $u$ . But  $\lambda x t, u \in \Lambda_c$ , so that this occurrence is at the beginning (resp. at the end) of a continuation  $kt_1 \dots t_n \pi_0$  which is a subterm of  $\lambda x t$  or  $u$ . If this continuation is a subterm of  $u$ , it is also a subterm of  $t[u/x]$ . If it is a subterm of  $\lambda x t$ , then this occurrence of  $k$  (resp.  $\pi_0$ ) in  $t[u/x]$  is at the beginning

(resp. at the end) of the subterm  $kt_1[u/x] \dots t_n[u/x]\pi_0$ , which is a continuation.

v) Trivial.

C.Q.F.D.

If  $t_1, \dots, t_n \in \Lambda_c$  ( $n \in \mathbb{N}$ ) and  $\pi_0 \in \Pi_0$ , the sequence  $\pi = (t_1, \dots, t_n, \pi_0)$  is called a *stack* and is denoted by  $t_1.t_2 \dots t_n.\pi_0$ ; the set of stacks is denoted by  $\Pi$ . The continuation  $kt_1 \dots t_n \pi_0$  is denoted by  $k_\pi$ . If  $t \in \Lambda_c$  and  $\pi = t_1.t_2 \dots t_n.\pi_0 \in \Pi$ , then the stack  $t.t_1.t_2 \dots t_n.\pi_0$  is denoted by  $t.\pi$ . Thus, the dot is an application of  $\Lambda_c \times \Pi$  in  $\Pi$ .

Every term  $\tau \in \Lambda_c$  is either an application, or an abstraction, or a constant *which is an instruction* (indeed, this term can be neither  $k$ , nor a stack constant, by definition of  $\lambda_c$ -terms). From lemma 1, it follows that, for every  $\tau \in \Lambda_c$ , we are in one and only one of the following cases :

- i)  $\tau$  is an application  $tu$  with  $u \notin \Pi_0$  (and therefore  $t, u \in \Lambda_c$ ) ;
- ii)  $\tau$  is an abstraction  $\lambda x t$  ;
- iii)  $\tau$  is an instruction (particular case :  $\tau = cc$ ) ;
- iv)  $\tau$  is an application  $tu$  with  $u \in \Pi_0$ , i.e.  $\tau = k_\pi$  for some stack  $\pi$ .

A *process* is an ordered pair  $(\tau, \pi)$  with  $\tau \in \Lambda_c, \pi \in \Pi$ . It is denoted by  $\tau \star \pi$ ;  $\tau$  is called the *head* or the *active part* of the process. The set of all processes will be denoted by  $\Lambda_c \star \Pi$ .

We describe now the execution of processes, which is denoted by  $>$ . We give the rule to perform *one execution step* of the process  $\tau \star \pi$ . It depends on the form (i), ..., (iv) of  $\tau$  given above. In the four rules that follow,  $t, u, \lambda x v$  denote elements of  $\Lambda_c$ ;  $\pi, \rho$  denote stacks.

- i)  $tu \star \pi > t \star u.\pi$  (push) ;
- ii)  $\lambda x v \star u.\pi > v[u/x] \star \pi$  (pop) ;
- iii)  $cc \star t.\pi > t \star k_\pi.\pi$  (store the stack) ;
- iv)  $k_\pi \star t.\rho > t \star \pi$  (restore the stack).

Rules for other instructions will be given in due time.

We say that the process  $t \star \pi$  *reduces into*  $t' \star \pi'$  (notation  $t \star \pi > t' \star \pi'$ ) if we get  $t' \star \pi'$  from  $t \star \pi$  by means of a finite (possibly null) number of execution steps.

**Remark.** By lemma 1, we can check that the four execution rules give processes when applied to processes.

## Truth values and types

Consider an arbitrary set of processes, which is denoted by  $\perp\!\!\!\perp$  and which we suppose *cc-saturated*; it means that :

$$t \star \pi \in \perp\!\!\!\perp, t' \star \pi' > t \star \pi \Rightarrow t' \star \pi' \in \perp\!\!\!\perp.$$

$\mathcal{P}(\Pi)$  is called the *set of truth values*. If  $U \subset \Pi$  is a truth value and  $t \in \Lambda_c$ , we say that  $t$  *realizes*  $U$  (notation  $t \Vdash U$ ) if  $(\forall \pi \in U) t \star \pi \in \perp\!\!\!\perp$ . The set  $\{t \in \Lambda_c; t \Vdash U\}$  will be denoted by  $|U|$ . Thus, we have  $|\bigcup_{i \in I} U_i| = \bigcap_{i \in I} |U_i|$ .

The truth value  $\emptyset$  (resp.  $\Pi$ ) is called *true* (resp. *false*) and denoted by  $\top$  (resp.  $\perp$ ). Thus, we have  $|\top| = \Lambda_c$ ;  $t \in |\perp| \Leftrightarrow t \star \pi \in \perp\!\!\!\perp$  for every stack  $\pi \in \Pi$ .

Whenever  $U, V$  are truth values, we define the truth value :

$$(U \rightarrow V) = \{t.\pi; t \Vdash U, \pi \in V\}; \text{ we put } \neg U = (U \rightarrow \perp).$$

We shall sometimes use the following notation, when  $V$  is a truth value and  $A \subset \Lambda_c$  :

$(A \rightarrow V) = \{t.\pi; t \in A, \pi \in V\}$ .

For example,  $\{t\} \rightarrow V$  is the truth value  $\{t.\pi; \pi \in V\}$  if  $t \in \Lambda_c$  and  $V \subset \Pi$ .

With this notation,  $U \rightarrow V$  is the same as  $|U| \rightarrow V$ , for  $U, V \subset \Pi$ .

**Remarks.** If  $\perp = \emptyset$ , then either  $|U| = |\top| = \Lambda_c$  or  $|U| = |\perp| = \emptyset$  for every truth value  $U$ .

$|\perp| = \emptyset$  is equivalent to  $\perp = \emptyset$ . Indeed, the implication  $\Leftarrow$  is obvious. Conversely, if  $\perp \neq \emptyset$ , let  $t \star \pi \in \perp$ ; then  $k_\pi t \star \rho > t \star \pi \in \perp$  for every stack  $\rho$ , and therefore  $k_\pi t \in |\perp|$ .

*Types* are usual formulas of second order logic, written in the following language : the only logical symbols are  $\rightarrow$  and  $\forall$ ; we have function symbols of arity  $k$  which are functions from  $\mathbb{N}^k$  into  $\mathbb{N}$  and predicate symbols of arity  $k$  which are applications from  $\mathbb{N}^k$  into  $\mathcal{P}(\Pi)$  ( $k$  is any integer  $\geq 0$ ). First order variables (also called *individual variables*) are denoted by  $x, y, \dots$ ; second order variables (also called *predicate variables*) are denoted by  $X, Y, \dots$ . Each second order variable has an arity which is an integer. Predicate variables of arity 0 are also called *propositional variables*.

**Notations.**

The formula  $F_0 \rightarrow (F_1 \rightarrow \dots \rightarrow (F_n \rightarrow G) \dots)$  is denoted by  $F_0, F_1, \dots, F_n \rightarrow G$ .

$\perp$  is defined as  $\forall X X$ ;  $\neg F$  as  $F \rightarrow \perp$ ;

$F \vee G$  as  $\forall X[(F \rightarrow X), (G \rightarrow X) \rightarrow X]$ ;

$F \wedge G$  as  $\forall X[(F, G \rightarrow X) \rightarrow X]$ ;

$\exists Y F[Y]$  as  $\forall X[\forall Y(F[Y] \rightarrow X) \rightarrow X]$ ;  $\exists y F[y]$  as  $\forall X[\forall y(F[y] \rightarrow X) \rightarrow X]$ ;

We use the notation  $\exists Y\{F_1[Y], \dots, F_k[Y]\}$  for the formula :

$\forall X[\forall Y(F_1[Y], \dots, F_k[Y] \rightarrow X) \rightarrow X]$ ;

we have the same notation for the first order existential quantifier.

(In all these formulas,  $X$  is a propositional variable and  $Y$  has an arbitrary arity).

$x = y$  is defined as  $\forall X(Xx \rightarrow Xy)$  (where  $X$  has arity 1).

Let  $x_1, \dots, x_k$  be individual variables,  $X$  a predicate variable of arity  $k$ ,  $A$  and  $F$  arbitrary formulas. Then, we define  $A[F/Xx_1 \dots x_k]$  by induction on (the length of)  $A$  :

If  $X$  is not free in  $A$ , then  $A[F/Xx_1 \dots x_k]$  is  $A$ .

If  $A$  is  $X(t_1, \dots, t_k)$ , then  $A[F/Xx_1 \dots x_k]$  is  $F[t_1/x_1, \dots, t_k/x_k]$ .

$(A \rightarrow B)[F/Xx_1 \dots x_k]$  is  $A[F/Xx_1 \dots x_k] \rightarrow B[F/Xx_1 \dots x_k]$ .

$(\forall y A)[F/Xx_1 \dots x_k]$  is  $\forall y A[F/Xx_1 \dots x_k]$ .

$(\forall Y A)[F/Xx_1 \dots x_k]$  is  $\forall Y A[F/Xx_1 \dots x_k]$  if  $Y$  is a predicate variable which is  $\neq X$

(as usual, we assume that  $y$  and  $Y$  are not free in  $F$ ).

When  $F$  is an atomic formula of the form  $R(x_1, \dots, x_k)$ , where  $R$  is either a second order variable of arity  $k$ , or a parameter ( $R \in \mathcal{P}(\Pi)^{\mathbb{N}^k}$ ), we write also  $A[R/X]$  instead of  $A[F/Xx_1 \dots x_k]$ .

We now give the deduction rules for classical second order logic and, at the same time, the typing rules for  $\lambda$ cc-terms, which are the usual  $\lambda$ -terms (possibly not closed) written with the constant  $cc$ ; in such an expression as “  $t : A$  ”,  $t$  is a  $\lambda$ cc-term and  $A$  is a type, i.e. a second order formula.

Let  $\Gamma$  be a *context*, i.e. an expression of the form  $x_1 : A_1, \dots, x_n : A_n$ .

1.  $\Gamma \vdash x_i : A_i$  ( $1 \leq i \leq n$ )
2.  $\Gamma \vdash t : A \rightarrow B, \Gamma \vdash u : A \Rightarrow \Gamma \vdash tu : B$ .
3.  $\Gamma, x : A \vdash t : B \Rightarrow \Gamma \vdash \lambda x t : A \rightarrow B$ .
4.  $\Gamma \vdash t : (A \rightarrow B) \rightarrow A \Rightarrow \Gamma \vdash cc t : A$ .
5.  $\Gamma \vdash t : A \Rightarrow \Gamma \vdash t : \forall x A$  (resp.  $\forall X A$ ) if  $x$  (resp.  $X$ ) is not free in  $\Gamma$ .

6.  $\Gamma \vdash t : \forall x A \Rightarrow \Gamma \vdash t : A[\tau/x]$  for every term  $\tau$  of  $\mathcal{L}$ .  
7.  $\Gamma \vdash t : \forall X A \Rightarrow \Gamma \vdash t : A[F/X x_1 \dots x_k]$  for every formula  $F$ .

Rule 4 uses the interpretation of Griffin [2] for the law of Peirce.

Rule 7 uses Takeuti's interpretation for the comprehension scheme.

Let  $A$  be a closed second order formula with first order parameters in  $\mathbb{N}$  and second order parameters of arity  $k$  in  $\mathcal{P}(\Pi)^{\mathbb{N}^k}$  for each integer  $k$ . We define below its truth value, which is denoted by  $\|A\|$ . We denote by  $|A|$  the set of terms in  $\Lambda_c$  which realize  $A$ , i.e.  $|A| = \{t \in \Lambda_c; (\forall \pi \in \|A\|) t \star \pi \in \perp\}$ . We write  $t \Vdash A$  (read “ $t$  realizes  $A$ ”) if  $t \in |A|$ .

The definition of  $\|A\|$  is given by induction on  $A$  :

If  $A$  is atomic, i.e.  $A \equiv R(t_1, \dots, t_k)$ , then  $t_1, \dots, t_k$  are closed terms and  $R \in \mathcal{P}(\Pi)^{\mathbb{N}^k}$  is a second order parameter. Let  $a_i \in \mathbb{N}$  be the value of  $t_i$  ; then, we put :

$$\|R(t_1, \dots, t_k)\| = R(a_1, \dots, a_k) \subset \Pi.$$

The other steps of induction are as follows :

$$\|A \rightarrow B\| = \{t.\pi; t \in |A|, \pi \in \|B\|\};$$

$$\|\forall x A\| = \bigcup_{a \in \mathbb{N}} \|A[a/x]\|; \text{ (and therefore } |\forall x A| = \bigcap_{a \in \mathbb{N}} |A[a/x]|);$$

$$\|\forall X A\| = \bigcup \{\|A[R/X]\|; R \in \mathcal{P}(\Pi)^{\mathbb{N}^k}\} \text{ if } X \text{ is a predicate variable of arity } k$$

(and therefore  $|\forall X A| = \bigcap \{|A[R/X]|; R \in \mathcal{P}(\Pi)^{\mathbb{N}^k}\}$ ).

We get  $\|\perp\| = \Pi$  and  $|\perp|$  is the least truth value. There is a greatest truth value, denoted by  $\top$ , which is  $\emptyset$  ; thus,  $|\top| = \Lambda_c$ .

**Lemma 2.** *i)  $t \Vdash A \rightarrow B, u \Vdash A \Rightarrow tu \Vdash B$ .*

*ii) If  $(\forall u \in \Lambda_c)(u \Vdash A \rightarrow tu \Vdash B)$  then  $\lambda x tx \Vdash A \rightarrow B$ .*

i) If  $\pi \in \|B\|$ , then  $tu \star \pi > t \star u.\pi$ . Now  $u.\pi \in \|A \rightarrow B\|$  and  $t \Vdash A \rightarrow B$ , thus  $t \star u.\pi \in \perp$ .

ii) Let  $u \Vdash A$  and  $\pi \in \|B\|$ . We have  $\lambda x tx \star u.\pi > tu \star \pi \in \perp$ .

C.Q.F.D.

The following theorem shows that realizability is compatible with deduction in classical second order logic. It is an essential tool in this theory.

**Theorem 3** (Adequation lemma). *Let  $A_1, \dots, A_k, A$  be closed formulas such that  $x_1 : A_1, \dots, x_k : A_k \vdash t : A$  is provable with the rules above.*

*If  $t_i \Vdash A_i$  for  $1 \leq i \leq k$ , then  $t[t_1/x_1, \dots, t_k/x_k] \Vdash A$ .*

In particular, if  $A$  is a closed formula and  $\vdash t : A$  is provable, then  $t \Vdash A$ .

The following lemma is a stronger, but more complicated, form of this theorem ; it is suitable for a proof by induction.

**Lemma 4.**

*Let  $A_1, \dots, A_k, A$  be formulas, free variables of which are amongst  $y_1, \dots, y_m, Y_1, \dots, Y_n$ . Let  $b_i \in \mathbb{N}$  ( $1 \leq i \leq m$ ) and  $P_j \in \mathcal{P}(\Pi)^{\mathbb{N}^{k_j}}$  ( $1 \leq j \leq n$ ), where  $k_j$  is the arity of  $Y_j$ . Suppose that  $x_1 : A_1, \dots, x_k : A_k \vdash t : A$  is provable with the above rules.*

*If  $t_i \Vdash A_i[b_1/y_1, \dots, b_m/y_m, P_1/Y_1, \dots, P_n/Y_n]$  for  $1 \leq i \leq k$ , then*

*$t[t_1/x_1, \dots, t_k/x_k] \Vdash A[b_1/y_1, \dots, b_m/y_m, P_1/Y_1, \dots, P_n/Y_n]$ .*

We make an induction on the length of the proof of  $\Gamma \vdash t : A$

where  $\Gamma$  is the context  $x_1 : A_1, \dots, x_k : A_k$ . We shall use the notation  $t'$  for  $t[t_1/x_1, \dots, t_k/x_k]$  and  $A'$  for  $A[b_1/y_1, \dots, b_m/y_m, P_1/Y_1, \dots, P_n/Y_n]$ . Consider the rule used in the last step of the proof:

If it is rule 1 the result is trivial;

If it is rule 2, we have  $t = uv$  and  $\Gamma \vdash u : A \rightarrow B, v : A$ . We must show that  $t' \in |B'|$ , that is  $u'v' \star \pi \in \perp$  for every stack  $\pi \in \|B'\|$ . But  $u'v' \star \pi > u' \star v'.\pi$ , hence the result since, by induction hypothesis, we have  $u' \in |A' \rightarrow B'|, v' \in |A'|$  and thus  $v'.\pi \in \|A' \rightarrow B'\|$ .

If it is rule 3, we have  $t = \lambda x u, A = (B \rightarrow C)$  and  $\Gamma, x : B \vdash u : C$ . We must show that  $\lambda x u' \in |B' \rightarrow C'|$ , that is  $\lambda x u' \star \pi \in \perp$  for every  $\pi \in \|B' \rightarrow C'\|$ . Now, we have  $\pi = v.\omega$  with  $v \in |B'|$  and  $\omega \in \|C'\|$ . By induction hypothesis, we have  $u'[v/x] \Vdash C'$  and therefore  $u'[v/x] \star \omega \in \perp$ . Thus  $\lambda x u' \star v.\omega \in \perp$ , because  $\perp$  is cc-saturated.

If it is rule 4, we have  $t = cc u$  and  $\Gamma \vdash u : (A \rightarrow B) \rightarrow A$ . We must show that  $t' \in |A'|$ , that is  $cc u' \star \pi \in \perp$  for every  $\pi \in \|A'\|$ . Since  $\perp$  is cc-saturated, it is sufficient to show that  $u' \star k_\pi.\pi \in \perp$ . We first show that  $k_\pi \in |A' \rightarrow B'|$ : indeed, if  $v \in |A'|$  and  $\rho \in \|B'\|$ , then  $k_\pi \star v.\rho > v \star \pi \in \perp$ . Now, by induction hypothesis, we know that  $u' \in |(A' \rightarrow B') \rightarrow A'|$ , hence the result.

If it is rule 5 for a first order variable  $x$ , we have  $A = \forall x B$  and  $\Gamma \vdash t : B$ . By induction hypothesis, we get  $t' \in |B'[a/x]|$  for each  $a \in \mathbb{N}$ . Thus  $t' \in \bigcap_{a \in \mathbb{N}} |B'[a/x]| = |\forall x B'| = |A'|$ .

If it is rule 5 for a second order variable  $X$  of arity  $k$ , we have  $A = \forall X B$  and  $\Gamma \vdash t : B$ . By induction hypothesis, we have  $t' \in |B'[R/X]|$  for every  $R \in \mathcal{P}(\Pi)^{\mathbb{N}^k}$ .

Thus  $t' \in |\forall X B'| = |A'|$ .

If it is rule 6, we have  $A = B[\tau/x]$  and  $\Gamma \vdash t : \forall x B$ . By induction hypothesis, we get  $t' \in |\forall x B'|$ . But, if  $a \in \mathbb{N}$  is the value of  $\tau$ , we have  $|B'[\tau/x]| = |B'[a/x]| \supset |\forall x B'|$  and therefore  $t' \in |B'[\tau/x]| = |A'|$ .

If it is rule 7, we have  $A = B[\Phi(z_1, \dots, z_p)/Xz_1 \dots z_p]$  and therefore :

$A' = B'[\Phi'(z_1, \dots, z_p)/Xz_1 \dots z_p]$ . We have  $\Gamma \vdash t : \forall X B$  and we must show that  $t' \in |A'|$ . By induction hypothesis, we know that  $t' \in |\forall X B'|$  and the result follows from lemma 5.

C.Q.F.D.

**Lemma 5.** *Let  $\Phi$  (resp.  $A$ ) be a formula with parameters, with the only free variables  $z_1, \dots, z_p$  (resp.  $X$  of arity  $p$ ). Define  $R \in \mathcal{P}(\Pi)^{\mathbb{N}^p}$  by:*

$R(a_1, \dots, a_p) = \|\Phi[a_1/z_1, \dots, a_p/z_p]\|$  for  $a_1, \dots, a_p \in \mathbb{N}$ .

*Then  $\|A[\Phi/Xz_1 \dots z_p]\| = \|A[R/X]\|$  and therefore :*

$\|A[\Phi/Xz_1 \dots z_p]\| \subset \|\forall X A\|$  and  $\|\forall X A\| \subset \|A[\Phi/Xz_1 \dots z_p]\|$ .

We show  $\|A[\Phi/Xz_1 \dots z_p]\| = \|A[R/X]\|$  by induction on the length of  $A$ .

The result is trivial if  $X$  is not free in  $A$ , if  $A$  is atomic, or if  $A = (B \rightarrow C)$ .

If  $A = \forall x B$ , then  $\|A[\Phi/Xz_1 \dots z_p]\| = \bigcup_{a \in \mathbb{N}} \|B[\Phi/Xz_1 \dots z_p][a/x]\|$   
 $= \bigcup_{a \in \mathbb{N}} \|B[a/x][\Phi/Xz_1 \dots z_p]\| = \bigcup_{a \in \mathbb{N}} \|B[a/x][R/X]\|$  by induction hypothesis  
 $= \bigcup_{a \in \mathbb{N}} \|B[R/X][a/x]\| = \|\forall x B[R/X]\| = \|A[R/X]\|$ .

If  $A = \forall Y B$ , with  $Y$  of arity  $q$  and  $Y \neq X$ , then :

$\|A[\Phi/Xz_1 \dots z_p]\| = \bigcup \{\|B[\Phi/Xz_1 \dots z_p][S/Y]\|; S \in \mathcal{P}(\Pi)^{\mathbb{N}^q}\}$   
 $= \bigcup \{\|B[S/Y][\Phi/Xz_1 \dots z_p]\|; S \in \mathcal{P}(\Pi)^{\mathbb{N}^q}\}$

$= \bigcup \{ \|B[S/Y][R/X]\|; S \in \mathcal{P}(\Pi)^{\mathbb{N}^q} \}$  by induction hypothesis  
 $= \bigcup \{ \|B[R/X][S/Y]\|; S \in \mathcal{P}(\Pi)^{\mathbb{N}^q} \} = \| \forall Y B[R/X] \| = \| A[R/X] \|.$   
 C.Q.F.D.

**Remark.** The terms we obtain by means of proofs in classical second order logic are  $\lambda$ cc-terms, that is  $\lambda$ -terms with the constant cc, not necessarily closed. On the other hand, the terms which appear in processes are in  $\Lambda_c$  (i.e. closed terms with instructions and continuations). The common elements are proof-like terms with the only instruction cc (hence the name “ proof-like ”).

**Theorem 6.** For  $U, V \in \mathcal{P}(\Pi)$ , we put  $U \leq V \Leftrightarrow (\exists \theta \in QP) \theta \Vdash U \rightarrow V$ .  
 Suppose that  $\perp$  is coherent, which means that  $(\forall \theta \in QP) \theta \not\Vdash \perp$ . Then  $\leq$  is a preorder (i.e. reflexive and transitive) and  $(\mathcal{P}(\Pi), \leq)$  is a Boolean algebra.

Proof that  $\leq$  is a preorder : if  $U \leq V$  and  $V \leq W$ , then there are  $\xi, \eta \in QP$  such that  $\xi \Vdash U \rightarrow V$ ,  $\eta \Vdash V \rightarrow W$ . Therefore  $\eta \circ \xi \Vdash U \rightarrow W$ , hence  $U \leq W$ .

Proof that  $(\mathcal{P}(\Pi), \leq)$  is a Boolean algebra : we have  $U \wedge V \leq U$  and  $U \wedge V \leq V$  (the proofs of  $U \wedge V \rightarrow U$  and  $U \wedge V \rightarrow V$  give the requested terms). Moreover, if  $Z \leq U, V$ , then  $\xi \Vdash Z \rightarrow U$ ,  $\eta \Vdash Z \rightarrow V$  thus  $\lambda z \lambda f ((f)(\xi)z)(\eta)z \Vdash Z \rightarrow U \wedge V$ . Therefore we have  $Z \leq U \wedge V$  and  $U \wedge V = \inf(U, V)$ . In the same way, we get  $U \vee V = \sup(U, V)$ .

We have  $I \Vdash \perp \rightarrow U$  and  $I \Vdash U \rightarrow \top$ . Therefore  $\perp$  is the least element and  $\top$  is the greatest. We have  $\neg U \wedge U \leq \perp$  and  $\top \leq \neg U \vee U$  since  $\neg U \wedge U \rightarrow \perp$  and  $\top \rightarrow \neg U \vee U$  are provable. Thus  $\neg U$  is the complement of  $U$ .

It remains to show that  $\top \not\leq \perp$ . This clearly follows from the hypothesis that  $\perp$  is coherent.  
 C.Q.F.D.

As soon as we choose a coherent set  $\perp$ , each closed formula with parameters is given a value in this Boolean algebra. The set  $\mathcal{T}_\perp$  of formulas with value 1, i.e. formulas which are realized by a proof-like term, is a consistent theory which contains second order logic. We are interested in the models of this theory ; we call them *generic models*.

The case when  $\perp = \emptyset$  is trivial : the Boolean algebra is  $\{0, 1\}$  and we get back the standard model we started with.

We say that a closed formula  $F$ , with parameters, is *realized* if there exists a proof-like term  $\theta$  such that  $\theta \Vdash F$  for any choice of the cc-saturated set  $\perp$ .

All axioms of second order logic are realized. Thus, generic models satisfy second order logic.

The tools we have built up to now are sufficient in order to solve the specification problem for some very simple valid formulas.

**Theorem 7.** If  $\vdash \theta : \forall X(X \rightarrow X)$ , then  $\theta \star t.\pi > t \star \pi$  for every  $t \in \Lambda_c$  and  $\pi \in \Pi$ .

Given  $t$  and  $\pi$ , we put  $\perp = \{p \in \Lambda_c \star \Pi; p > t \star \pi\}$  and  $\|X\| = \{\pi\}$ . Thus, we have  $t \Vdash X$ . But, by theorem 3, we have  $\theta \Vdash X \rightarrow X$ , hence  $\theta \star t.\pi \in \perp$ . It is exactly what we wanted to show.

C.Q.F.D.

We denote by  $Bool(x)$  the formula  $\forall X(X1, X0 \rightarrow Xx)$ , which is equivalent to  $x = 0 \vee x = 1$  in classical second order logic.

**Theorem 8.** If  $\vdash \theta : Bool(1)$  (resp.  $Bool(0)$ ), then  $\theta \star t.u.\pi > t \star \pi$  (resp.  $u \star \pi$ ) for every  $t, u \in \Lambda_c$  and  $\pi \in \Pi$ .

Given  $t$  and  $\pi$ , we put  $\perp = \{p \in \Lambda_c \star \Pi; p > t \star \pi\}$  and we define a unary parameter  $X$  by putting  $\|X1\| = \{\pi\}$ ,  $\|Xn\| = \emptyset$  for  $n \neq 1$ . Thus, we have  $t \Vdash X1$  and  $u \Vdash X0$ .

If  $\vdash \theta : Bool(1)$ , by theorem 3, we have  $\theta \Vdash X1, X0 \rightarrow X1$ , hence  $\theta \star t.u.\pi \in \perp$ , which is the result.

C.Q.F.D.

**Remark.** The meaning of these theorems is that the terms of type  $\forall X(X \rightarrow X)$  (resp.  $Bool(1)$ ,  $Bool(0)$ ) behave essentially like  $I = \lambda x x$  (resp.  $\lambda x \lambda y x$ ,  $\lambda x \lambda y y$ ).

## Axiom of recurrence and call by value

We should now realize the axiom of recurrence, which is :  $\forall x Int(x)$  where  $Int(x)$  is the formula  $\forall X[\forall y(Xy \rightarrow Xsy), X0 \rightarrow Xx]$  that says that the individual  $x$  is an integer. Unfortunately, this axiom is not realized. This means that, in a generic model, there may be individuals which are not integers. Moreover, we shall see later that, in general, there may be also non standard integers in generic models.

In order to solve this problem, we introduce now some simple tools, which will appear essential in what follows.

We define a binary predicate constant, denoted by  $\neq$ , by putting  $\|m \neq n\| = \emptyset$  if  $m \neq n$  and  $\|m \neq n\| = \Pi$  if  $m = n$ . The following theorem shows that we can profitably use the formula  $x \neq y$  instead of  $x = y \rightarrow \perp$ .

**Theorem 9.** *The formula  $\forall x \forall y [x \neq y \leftrightarrow (x = y \rightarrow \perp)]$  is realized. Indeed :*

$\lambda x x I \Vdash \forall x \forall y [(x = y \rightarrow \perp) \rightarrow x \neq y]$  and  $\lambda x \lambda y y x \Vdash \forall x \forall y [x \neq y \rightarrow (x = y \rightarrow \perp)]$ .

We first check that  $|m = n| = |\forall X(X \rightarrow X)|$  if  $m = n$  and  $|m = n| = |\top \rightarrow \perp|$  if  $m \neq n$ . It is immediate, since  $m = n$  is the formula  $\forall X(Xm \rightarrow Xn)$ .

We have to show that  $\lambda x x I \Vdash (m = n \rightarrow \perp) \rightarrow m \neq n$  and  $\lambda x \lambda y y x \Vdash m \neq n, m = n \rightarrow \perp$  for every  $m, n \in \mathbb{N}$ . In the first case, we only need to check that :

$\lambda x x I \Vdash (m = m \rightarrow \perp) \rightarrow \perp$ , which is obvious since  $I \Vdash m = m$ .

In the second case, we must check that :

$\lambda x \lambda y y x \Vdash \top, m = n \rightarrow \perp$  if  $m \neq n$  and  $\lambda x \lambda y y x \Vdash \perp, m = n \rightarrow \perp$  if  $m = n$

which is immediate, using the above computation of  $|m = n|$ .

C.Q.F.D.

**Theorem 10.** *Suppose that  $\theta \star k_\pi.\rho \in \perp$  for any stack  $\pi \in \|X\|$  and  $\rho \in \|Y\|$ . Then :*

$V\theta \Vdash \neg X \rightarrow Y$  with  $V = \lambda x \lambda y (cc) \lambda h (y) (cc) \lambda k (h)(x) k$ .

Let  $t \Vdash \neg X$  and  $\rho \in \|Y\|$ . We must show that  $V\theta \star t.\rho \in \perp$  ; but  $V\theta \star t.\rho > V \star \theta.t.\rho > (cc) \lambda h (t) (cc) \lambda k (h) (\theta) k \star \rho > t \star (cc) \lambda k (k_\rho) (\theta) k.\rho$ . Since  $t \Vdash X \rightarrow \perp$ , it is therefore sufficient to prove that  $(cc) \lambda k (k_\rho) (\theta) k \Vdash X$ , in other words  $(cc) \lambda k (k_\rho) (\theta) k \star \pi \in \perp$  for every  $\pi \in \|X\|$ . This is true because this process reduces into  $\theta \star k_\pi.\rho$ .

C.Q.F.D.

**Remark.** With the notation introduced page 3, the hypothesis of theorem 10 is :

$\theta \Vdash \{k_\pi; \pi \in \|X\|\} \rightarrow Y$ . Theorem 10 says that the set  $|\neg X|$  behaves, in some sense, like its subset  $\{k_\pi; \pi \in \|X\|\}$  ; and it gives a simple way to check that  $X \vee Y$  is realized.



**Theorem 11** (Storage of integers). *We put  $T = \lambda f \lambda n (n \lambda g g \circ s) f 0$ , where  $s$  is a  $\lambda$ -term for the successor (for instance  $s = \lambda n \lambda f \lambda x (f)(n) f x$ ).*

*If  $\phi \in \Lambda_c$  is such that  $\phi \star s^n 0 . \pi \in \perp$  for every  $\pi \in \|X\|$ , then  $T\phi \Vdash \text{Int}[s^n 0] \rightarrow X$ .*

**Remarks.**

1. With the notation introduced page 3, the hypothesis of theorem 11 is :  $\phi \Vdash \{s^n 0\} \rightarrow X$ .

Theorem 11 says that the set  $|\text{Int}[s^n 0]|$  behaves, in some sense, like its subset  $\{s^n 0\}$ .

2.  $T$  is called a *storage operator* [6]. We understand intuitively its behavior by comparing the weak head reductions of  $\phi v$  and  $T\phi v$ , where  $\phi$  and  $v$  are  $\lambda$ -terms,  $v \simeq_\beta \lambda f \lambda x (f)^n x$  (Church integer). We get  $T\phi v > (\phi)(s)^n 0$ , which means that the integer argument  $v$  of  $\phi$  is computed first. In other words,  $T$  simulate call by value in a weak head reduction, that is a call by name execution.

Note that we use the same symbol  $s$  for a  $\lambda$ -term and a function symbol of  $\mathcal{L}$ .

**Proof.** Let  $v \Vdash \text{Int}[s^n 0]$  and  $\pi \in \|X\|$  ; we must show that  $T\phi \star v . \pi \in \perp$ .

We define a unary predicate  $P$  by putting :

$\|P(j)\| = \{s^{n-j} 0 . \pi\}$  for  $0 \leq j \leq n$  and  $\|P(j)\| = \emptyset$  for  $j > n$ .

We have  $\phi \Vdash P 0$  by hypothesis on  $\phi$ .

Let us show that  $\lambda g g \circ s \Vdash \forall x (P x \rightarrow P s x)$ , which means that  $\lambda g g \circ s \in |P(j) \rightarrow P(j+1)|$  for every  $j \in \mathbb{N}$ . It is trivial for  $j \geq n$ .

If  $j < n$ , let  $\xi \in |P(j)|$  ; we must show that  $\lambda g g \circ s \star \xi . s^{n-j-1} 0 . \pi \in \perp$ . But we have :  $\lambda g g \circ s \star \xi . s^{n-j-1} 0 . \pi > \xi \circ s \star s^{n-j-1} 0 . \pi > \xi \star s^{n-j} 0 . \pi \in \perp$  by hypothesis on  $\xi$ .

Now, we have  $T\phi \star v . \pi > v \star \lambda g g \circ s . \phi . 0 . \pi$  which is in  $\perp$  because :

$v \Vdash \forall x (P x \rightarrow P s x)$ ,  $P 0 \rightarrow P s^n 0$  by hypothesis,  $\phi \Vdash P 0$  and  $0 . \pi \in \|P s^n 0\|$ .

C.Q.F.D.

We are interested in the theory called *Analysis* or *Second order Peano arithmetic*, which is classical second order logic with the following supplementary axioms :

1. A set of *equational* formulas, i.e. formulas of the form :

$\forall x_1 \dots \forall x_k [t(x_1, \dots, x_k) = u(x_1, \dots, x_k)]$ , where  $t, u$  are terms which represent functions from  $\mathbb{N}^k$  into  $\mathbb{N}$ . Of course, all these formulas are supposed to be true in  $\mathbb{N}$ . For instance, we can take the following equational formulas, where  $0, s, p, +, .$  are function symbols that represent respectively the integer 0, the successor function, the predecessor, the addition and multiplication on integers :

$p 0 = 0 ; \forall x (p s x = x) ; \forall x (x + 0 = x) ; \forall x [x + s y = s(x + y)] ;$

$\forall x (x . 0 = 0) ; \forall x \forall y [x . s y = x . y + x].$

2.  $\forall x (0 \neq s x)$ .

3. The recurrence axiom  $\forall x \text{Int}(x)$ .

4. The axiom of dependent choice.

All equational axioms 1 are realized by  $I = \lambda x x$ . Axiom 2 is realized by any proof-like term.

But there is a problem with axiom 3, as is shown by the following theorem :

**Theorem 12.** *There is no proof-like term and even no instruction which realizes  $\forall x \text{Int}(x)$ .*

Let  $\xi$  be such an instruction ; thus, we have  $\xi \Vdash \text{Int}(0)$  and  $\xi \Vdash \text{Int}(1)$  for every choice of the set  $\perp$ . Let  $\delta = \lambda x x x$ ,  $\alpha_0 = \delta \delta 0$ ,  $\alpha_1 = \delta \delta 1$  ; let  $\pi$  be some stack.

We first take  $\perp = \{p \in \Lambda_c \star \Pi ; p > \alpha_0 \star \pi\}$  (recall that  $\Lambda_c \star \Pi$  is the set of processes). We define a unary predicate  $X$  by putting  $\|X 0\| = \{\pi\}$ ,  $\|X(i+1)\| = \emptyset$  for every  $i \in \mathbb{N}$ . Thus, we

have  $\alpha_0 \Vdash X0$  and  $t \Vdash \forall y(Xy \rightarrow Xsy)$  for every  $t \in \Lambda_c$ . But  $\xi \Vdash Int(0)$ , thus  $\xi \Vdash \forall y(Xy \rightarrow Xsy), X0 \rightarrow X0$ . It follows that  $\xi \star \lambda x \alpha_1. \alpha_0. \pi \in \perp$ . In other words,  $\xi \star \lambda x \alpha_1. \alpha_0. \pi > \alpha_0 \star \pi$ . We now take  $\perp = \{\rho \in \Lambda_c \star \Pi; \rho > \alpha_1 \star \pi\}$  and we define the unary predicate  $X$  by putting  $\|X0\| = \emptyset$ ,  $\|X(i+1)\| = \{\pi\}$  for every  $i \in \mathbb{N}$ . Therefore, we have  $t \Vdash X0$  for every  $t \in \Lambda_c$  and  $\lambda x \alpha_1 \Vdash \forall y(Xy \rightarrow Xsy)$ . But  $\xi \Vdash Int(1)$ , thus  $\xi \Vdash \forall y(Xy \rightarrow Xsy), X0 \rightarrow X1$ . It follows that  $\xi \star \lambda x \alpha_1. \alpha_0. \pi \in \perp$ . In other words,  $\xi \star \lambda x \alpha_1. \alpha_0. \pi > \alpha_1 \star \pi$ . This is obviously a contradiction with the preceding result, because no process can reduce both to  $\alpha_0 \star \pi$  and  $\alpha_1 \star \pi$ .

C.Q.F.D.

A solution for axiom 3 (we shall give another method later) is simply to remove it by means of the following well known property :

*Every proof of a formula  $\Theta$  in second order classical logic, using axioms 1, 2 and 3, can be converted into a proof of  $\Theta^{Int}$  using axioms 1, 2 and the following axioms :*

3'.  $\forall x_1 \dots \forall x_k \{Int[x_1], \dots, Int[x_k] \rightarrow Int[f(x_1, \dots, x_k)]\}$  for each function symbol  $f$  of  $\mathcal{L}$ .

$\Theta^{Int}$  is the formula that we obtain by restricting to  $Int$  all first order quantifiers in  $\Theta$ .

It is inductively defined as follows :

If  $A$  is atomic, then  $A^{Int} \equiv A$ ;  $(A \rightarrow B)^{Int} \equiv A^{Int} \rightarrow B^{Int}$ ;

$(\forall X A)^{Int} \equiv \forall X A^{Int}$ ;  $(\forall x A)^{Int} \equiv \forall x(Int[x] \rightarrow A^{Int})$ .

It remains to find for which functions from  $\mathbb{N}^k$  into  $\mathbb{N}$  the formulas 3' are realised. The solution is given by the following theorem :

**Theorem 13.** *Let  $f$  be a function symbol of arity  $k$ , which represents a (total) recursive function. Then the formula  $\forall x_1 \dots \forall x_k \{Int[x_1], \dots, Int[x_k] \rightarrow Int[f(x_1, \dots, x_k)]\}$  is realised.*

We first need a result about usual  $\lambda$ -calculus.

Notations.

$\Lambda$  is the set of usual  $\lambda$ -terms.

If  $t, u \in \Lambda$ , then  $t \simeq_\beta t'$  means that  $t$  is  $\beta$ -equivalent to  $t'$ ;

$t > t'$  means that  $t$  reduce to  $t'$  by *weak head reduction* : a step of weak head reduction is  $(\lambda x u) v v_1 \dots v_n > (u[v/x]) v_1 \dots v_n$ .

The following lemma explains why we use the same symbol  $>$  for weak head reduction of usual  $\lambda$ -terms and for the execution des processes.

**Lemma 14.**

*Let  $\xi, \eta, t_1, \dots, t_k \in \Lambda$  be usual closed  $\lambda$ -terms and let  $\pi \in \Pi$ . If  $\eta$  is not an application (in other words,  $\eta$  is a  $\lambda$ -constant or  $\eta = \lambda x \eta'$ ) and if  $\xi > (\eta) t_1 \dots t_k$ , then  $\xi \star \pi > \eta \star t_1. \dots. t_k. \pi$ .*

The proof is by induction on the length of the weak head reduction  $\xi > (\eta) t_1 \dots t_k$ . The first step is  $\xi = (\lambda y u) v v_1 \dots v_n > (u[v/y]) v_1 \dots v_n$  and, by induction hypothesis :

$(u[v/y]) v_1 \dots v_n \star \pi > \eta \star t_1. \dots. t_k. \pi$ . Now, during the first  $n - 1$  reduction steps of the left hand side, the head of the process is an application ; since  $\eta$  is not, we did not reach yet the right hand process after these steps. Thus, we have :

$(u[v/y]) \star v_1 \dots v_n. \pi > \eta \star t_1. \dots. t_k. \pi$ . It follows that :

$\xi \star \pi = (\lambda y u) v v_1 \dots v_n \star \pi > (u[v/y]) \star v_1 \dots v_n. \pi > \eta \star t_1. \dots. t_k. \pi$ .

C.Q.F.D.

Notations.

For  $t, u \in \Lambda_c$ , we define :

$$(t)^n u \text{ pour } n \in \mathbb{N} \text{ by : } (t)^0 u = u, (t)^{n+1} u = (t)(t)^n u.$$

$$t \circ u \text{ by } \lambda x(t)(u)x, \text{ where } x \text{ does appear in } t, u.$$

**Lemma 15.** *Let  $f, a$  be  $\lambda$ -constants and  $\xi \in \Lambda$  such that  $\xi \simeq_\beta (f)^n a$ .*

*Suppose that  $\phi \Vdash \forall y(Xy \rightarrow Xsy)$  and  $\alpha \Vdash X0$ . Then  $\xi[\phi/f, \alpha/a] \Vdash Xs^n 0$ .*

Proof by induction on  $n$ . If  $n = 0$ , then  $\xi \simeq_\beta a$  and therefore  $\xi > a$ . If  $\pi \in \|X0\|$ , then  $\xi \star \pi > a \star \pi$ , by lemma 14. Thus  $\xi[\phi/f, \alpha/a] \star \pi > a \star \pi \in \perp$ .

If  $n > 0$ , then  $\xi > (f)\eta$  with  $\eta \simeq_\beta (f)^{n-1} a$ . Let  $\pi \in \|Xs^n 0\|$ ; then  $\xi \star \pi > f \star \eta.\pi$ , by lemma 14 and therefore  $\xi[\phi/f, \alpha/a] \star \pi > \phi \star \eta[\phi/f, \alpha/a].\pi$ . Now,  $\phi \in |Xs^{n-1} 0 \rightarrow Xs^n 0|$  and, by induction hypothesis,  $\eta[\phi/f, \alpha/a] \in |Xs^{n-1} 0|$ . Thus  $\phi \star \eta[\phi/f, \alpha/a].\pi \in \perp$ .

C.Q.F.D.

**Theorem 16.** *Let  $n \in \mathbb{N}$  and  $v \in \Lambda$  such that  $v \simeq_\beta \lambda f \lambda x(f)^n x$ . Then  $v \Vdash \text{Int}[s^n 0]$ .*

Let  $\phi \Vdash \forall y(Xy \rightarrow Xsy)$ ,  $\alpha \Vdash X0$  and  $\pi \in \|Xs^n 0\|$ ; we must show that  $v \star \phi.\alpha.\pi \in \perp$ . Since  $v \simeq_\beta \lambda f \lambda x(f)^n x$ , we have  $v > \lambda f \eta, \eta > \lambda a \xi$  and  $\xi \simeq_\beta (f)^n a$ . By lemma 14, we have  $v \star \phi.\alpha.\pi > \lambda f \eta \star \phi.\alpha.\pi > \eta[\phi/f] \star \alpha.\pi$ . Again by lemma 14, we have :

$\eta \star \alpha.\pi > \lambda a \xi \star \alpha.\pi > \xi[\alpha/a] \star \pi$  and thus  $\eta[\phi/f] \star \alpha.\pi > \xi[\phi/f, \alpha/a] \star \pi$ . Finally, we have  $v \star \phi.\alpha.\pi > \xi[\phi/f, \alpha/a] \star \pi$ . But, by lemma 15, we have  $\xi[\phi/f, \alpha/a] \Vdash Xs^n 0$  and therefore  $v \star \phi.\alpha.\pi > \xi[\phi/f, \alpha/a] \star \pi \in \perp$ .

C.Q.F.D.

We can now prove theorem 13. For simplicity, we suppose  $k = 1$ ; thus, we have a recursive function  $f : \mathbb{N} \rightarrow \mathbb{N}$ . Let  $\phi \in \Lambda$  be a  $\lambda$ -term which represents  $f$ : for each  $n \in \mathbb{N}$ , we have  $\phi s^n 0 \simeq_\beta \lambda f \lambda x(f)^p x$  with  $p = f(n)$ . By theorem 16, we have  $\phi s^n 0 \Vdash \text{Int}[s^p 0]$ . Let  $\pi \in \|\text{Int}[s^p 0]\|$ ; we have  $\phi s^n 0 \star \pi \in \perp$  and therefore  $\phi' \star s^n 0.\pi \in \perp$ , with  $\phi' = \lambda x \phi x$ . Since this holds for each  $\pi \in \|\text{Int}[s^p 0]\|$ , theorem 11 shows that  $T\phi' \Vdash \text{Int}[s^n 0] \rightarrow \text{Int}[s^p 0]$ . Since this is true for every  $n \in \mathbb{N}$ , with  $p = f(n)$ , it follows that  $T\phi' \Vdash \forall x(\text{Int}[x] \rightarrow \text{Int}[f(x)])$ .

C.Q.F.D.

## Arithmetical formulas

We can now consider the specification problem for arithmetical theorems. We begin by two simple forms :  $\forall x \exists y[f(x, y) = 0]$  and  $\exists x \forall y[f(x, y) = 0]$  where  $f$  is a recursive function. We suppose that these formulas are proved in classical second order arithmetic, using equational axioms written with total recursive function symbols (so that theorem 13 applies). In order to remove the recurrence axiom, we write these theorems in this form :

$$\forall x[\text{Int}(x) \rightarrow \exists y\{\text{Int}(y), f(x, y) = 0\}] \text{ and } \exists x\{\text{Int}(x), \forall y[\text{Int}(y) \rightarrow f(x, y) = 0]\}.$$

**Theorem 17.** *If  $\vdash \theta : \forall x[\text{Int}(x), \forall y\{\text{Int}(y) \rightarrow f(x, y) \neq 0\} \rightarrow \perp]$ , then for every  $n \in \mathbb{N}, \kappa \in \Lambda_c$  and  $\pi \in \Pi$ , we have  $\theta \star \underline{n}.T\kappa.\pi > \kappa \star s^p 0.\pi'$  with  $f(n, p) = 0$  ( $T$  is defined in theorem 11).*

**Remark.** If we take for  $\kappa$  a “ stop ” instruction, we see that the program  $\theta$  can compute, for each integer  $n$ , an integer  $p$  such that  $f(n, p) = 0$ . A “ stop ” instruction has no reduction rule when it comes in head position ; therefore, execution stops at this moment.

Of course, the theorem remains true if we use other axioms in the proof of the formula  $\forall x \exists y [f(x, y) = 0]$ , provided that these axioms are realized by suitable instructions. For instance, as we shall see later, we can use the axiom of dependent choice for this proof ; then, the program  $\theta$  will contain a *clock instruction*  $\bar{h}$  the reduction rule of which is :

$\bar{h} \star t.\pi > t \star \underline{n}.\pi$ , where  $n$  is an integer which is the current time.

**Proof.** We choose  $n \in \mathbb{N}$  and we put  $\perp = \{p \in \Lambda_c \star \Pi; p > \kappa \star s^p 0.\rho \text{ with } \rho \in \Pi \text{ and } f(n, p) = 0\}$ . By theorem 3 (adequation lemma), we have :

(\*)  $\theta \Vdash \text{Int}(n), \forall y \{ \text{Int}(y) \rightarrow f(n, y) \neq 0 \} \rightarrow \perp$ .

We have  $\underline{n} \Vdash \text{Int}(n)$  by theorem 16. We show that  $T\kappa \Vdash \forall y \{ \text{Int}(y) \rightarrow f(n, y) \neq 0 \}$ , in other words that  $T\kappa \Vdash \text{Int}(p) \rightarrow f(n, p) \neq 0$  for every integer  $p$ . By theorem 11, it is sufficient to prove that  $\kappa \star s^p 0.\rho \in \perp$  for every  $\rho \in \Pi$  with  $f(n, p) \neq 0$ . It is trivial if  $f(n, p) \neq 0$  since  $\|f(n, p) \neq 0\| = \emptyset$ . If  $f(n, p) = 0$ , it is again true by definition of  $\perp$ .

It follows from (\*) that  $\theta \star T\kappa.\pi \in \perp$  for every  $\pi \in \Pi$ , which is the desired result.

C.Q.F.D.

We consider now an arithmetical theorem  $\Phi$  of the form :

$\exists x \{ \text{Int}(x), \forall y [ \text{Int}(y) \rightarrow f(x, y) = 0 ] \}$ .

We define a game between two players called  $\exists$  and  $\forall$  :  $\exists$  plays an integer  $m$ ,  $\forall$  answers by an integer  $n$  ; the play stops as soon as  $f(m, n) = 0$  and then  $\exists$  won ; therefore  $\forall$  wins if and only if the play does not stop.

Intuitively,  $\exists$  is the “ defender ” of the theorem and  $\forall$  “ attacks ” this theorem, searching to exhibit a counter-example. It is clear that  $\exists$  has a winning strategy if and only if  $\mathbb{N} \models \Phi$  ; moreover, in this case, there is an obvious strategy for  $\exists$  : simply play successively  $0, 1, 2, \dots$

We shall show (theorem 18) that the program associated with a proof of  $\Phi$  behaves exactly as a winning strategy for  $\exists$  in this game. For this, we need to add an instruction  $\kappa$  to our programming language, in order to allow an interactive execution. The execution rule of  $\kappa$  is the following :

$$\kappa \star s^n 0.\xi.\pi > \xi \star s^p 0.\kappa_{np}.\pi'$$

for  $n, p \in \mathbb{N}$ ,  $\xi \in \Lambda_c$ ,  $\pi, \pi' \in \Pi$  ;  $s$  is a fixed  $\lambda$ -term for the successor in Church integers ;  $\kappa_{np}$  is a double sequence of “ stop ” instructions.

Observe that *this execution rule is non deterministic*, since the integer  $p$  and the stack  $\pi'$  are arbitrary. The intuitive meaning of this rule is as follows : in the left hand side, the program, which is also the player  $\exists$ , plays the integer  $n$  ; in the right hand side, the attacker  $\forall$  answers by playing  $p$  and the execution goes on ;  $\kappa_{np}$  keeps the trace of the ordered pair  $(n, p)$  of integers.

**Theorem 18.** *If  $\vdash \theta : [\exists x \forall y (f(x, y) = 0)]^{\text{Int}}$ , then every reduction of  $\theta \star T\kappa.\pi$  ends up into  $\kappa_{np} \star \pi'$  with  $f(n, p) = 0$ .  $T$  is the storage operator defined in theorem 11.*

We take for  $\perp$  the set of processes *every* reduction of which ends up into  $\kappa_{np} \star \pi'$  with  $f(n, p) = 0$  and  $\pi' \in \Pi$ . We must show that  $\theta \star T\kappa.\pi \in \perp$  for every  $\pi \in \Pi$ .

By theorem 3, we have  $\theta \Vdash \forall x [ \text{Int}(x), \forall y ( \text{Int}(y) \rightarrow f(x, y) = 0 ) \rightarrow \perp ] \rightarrow \perp$ .

Therefore, by definition of  $\Vdash$ , it is sufficient to show that :

$T\kappa \Vdash \forall x [ \text{Int}(x), \forall y ( \text{Int}(y) \rightarrow f(x, y) = 0 ) \rightarrow \perp ]$ .

Let  $n \in \mathbb{N}$  ; we must show that  $T\kappa \Vdash \text{Int}[s^n 0] \rightarrow [ \forall y ( \text{Int}(y) \rightarrow f(n, y) = 0 ) \rightarrow \perp ]$ .

By theorem 11, we only need to show that, if  $\pi \in \Pi$  and  $\xi \Vdash \forall y ( \text{Int}(y) \rightarrow f(n, y) = 0 )$  then

$\kappa \star s^n 0. \xi. \pi \in \perp$ . By definition of  $\perp$ , it is sufficient to show that  $\xi \star s^p 0. \kappa_{np}. \pi \in \perp$  for every  $p \in \mathbb{N}$  and  $\pi \in \Pi$ . But, by hypothesis on  $\xi$ , for every  $p \in \mathbb{N}$  and  $\omega \in \|f(n, p) = 0\|$ , we have  $\xi \star s^p 0. \omega \in \perp$ . Therefore, it suffices to show that  $\kappa_{np}. \pi \in \|f(n, p) = 0\|$  for every  $p \in \mathbb{N}$  and  $\pi \in \Pi$ .

If  $f(n, p) = 0$ , then  $\|f(n, p) = 0\|$  is  $\|\forall X(X \rightarrow X)\|$  that is to say :

$\{t. \rho; t \in \Lambda_c, \rho \in \Pi, t \star \rho \in \perp\}$ . But, by definition of  $\perp$ , we have also  $\kappa_{np} \star \pi \in \perp$  and therefore  $\kappa_{np}. \pi \in \|f(n, p) = 0\|$ .

If  $f(n, p) \neq 0$ , then  $\|f(n, p) = 0\| = \|\top \rightarrow \perp\|$  that is to say  $\{t. \rho; t \in \Lambda_c, \rho \in \Pi\}$ ; thus, we have again  $\kappa_{np}. \pi \in \|f(n, p) = 0\|$ .

C.Q.F.D.

It follows that every proof of  $\Phi$  in classical analysis gives rise to an interactive program which wins against any attacker.

Indeed, at each answer of the attacker  $\forall$ , the program, which is nobody else than the player  $\exists$ , provides an *object*  $(s^n 0, \xi)$  constituted with an integer  $n$  (the “provisional solution”) and an *exception handler*  $\xi$ , which will be used in case of a pertinent answer of the opponent. They are the two arguments of  $\kappa$  which can therefore be considered as a *pointer* towards this object.

We can, without any supplementary effort, add a universal quantifier, which gives the

**Theorem 19.** *If  $\vdash \theta : [\forall x \exists y \forall z (f(x, y, z) = 0)]^{Int}$ , then every reduction of  $\theta \star \underline{m}. T \kappa. \pi$  ends up into  $\kappa_{np} \star \pi'$  with  $f(m, n, p) = 0$ .*

The generalization to the case of an arithmetical formula with an arbitrary number of alternating quantifiers is given in [8].

### True arithmetical formulas

We shall now prove that *every arithmetical formula which is true in  $\mathbb{N}$  is realized*. We use for this the trivial winning strategy of the player  $\exists$ , which we express by a program. We consider first the simpler case of  $\forall \exists \forall$  formulas.

#### Theorem 20.

*Let  $\theta$  be a closed  $\lambda$ -term such that  $\theta t i > (t i)(\theta t)(s) i$ . If  $\mathbb{N} \models \forall x \exists y \forall z [f(x, y, z) \neq g(x, y, z)]$ , then  $\lambda x \theta x 0 \Vdash \forall x \exists y \{Int(y), \forall z (f(x, y, z) \neq g(x, y, z))\}$ .*

**Remark.** This formula is obviously stronger than  $[\forall x \exists y \forall z (f(x, y, z) \neq g(x, y, z))]^{Int}$  which is therefore also realized.

Suppose that there exists an integer  $m$  such that :

$\lambda x \theta x 0 \not\Vdash \forall y [Int(y), \forall z (f(m, y, z) \neq g(m, y, z)) \rightarrow \perp] \rightarrow \perp$ . Thus, there exists  $t \in \Lambda_c$  and  $\pi_0 \in \Pi$  such that  $t \Vdash \forall y [Int(y), \forall z (f(m, y, z) \neq g(m, y, z)) \rightarrow \perp]$  and  $\theta t 0 \star \pi_0 \notin \perp$ .

Thus, we have  $t \star 0. \theta t 1. \pi_0 \notin \perp$  and therefore  $\theta t 1 \not\Vdash \forall z (f(m, 0, z) \neq g(m, 0, z))$ . This means that there exists  $p_0 \in \mathbb{N}$  and  $\pi_1 \in \Pi$  such that  $f(m, 0, p_0) = g(m, 0, p_0)$  and  $\theta t 1 \star \pi_1 \notin \perp$ .

Therefore, we have  $t \star 1. \theta t 2. \pi_1 \notin \perp$  and so on. Therefore we build, in this way, a sequence of integers  $p_i (i \in \mathbb{N})$  such that  $f(m, i, p_i) = g(m, i, p_i)$ . This is a contradiction with the hypothesis that  $\mathbb{N} \models \forall x \exists y \forall z [f(x, y, z) \neq g(x, y, z)]$ .

C.Q.F.D.

We consider now prenex formulas with an arbitrary number of alternating quantifiers. Let  $\Phi$  be a formula of the form  $\exists x_1 \forall y_1 \dots \exists x_k \forall y_k (f(x_1, y_1, \dots, x_k, y_k) \neq 0)$  where  $f : \mathbb{N}^{2k} \rightarrow \mathbb{N}$  is an arbitrary function.

We define a game associated with  $\Phi$  (players  $\exists$  and  $\forall$  are respectively the “defender” and the “attacker” of the formula  $\Phi$ ); we also define the notion of *reached position* at a given moment :

A *position* of the game is a finite sequence of integers  $n_1 p_1 \dots n_i p_i$  ( $0 \leq i \leq k$ ). The player  $\exists$  chooses first an *already reached* position  $n_1 p_1 \dots n_i p_i$  with  $0 \leq i < k$  and an integer  $n_{i+1}$ ; then, the player  $\forall$  chooses an integer  $p_{i+1}$ . The position  $n_1 p_1 \dots n_{i+1} p_{i+1}$  is then reached.

If  $i + 1 = k$  and  $f(n_1, p_1, \dots, n_k, p_k) \neq 0$ , then the play stops and  $\exists$  *won*. In every other case, the play goes on. Therefore  $\forall$  wins if and only if the play does not stop.

It is easy to see that  $\mathbb{N} \models \Phi$  if and only if the player  $\exists$  has a winning strategy for this game. Moreover, we can effectively (and very simply) describe such a strategy. It does not even depend on the function  $f$ , but only on the number  $k$  of quantifiers :

The player  $\exists$  uses an effective enumeration of  $\mathbb{N}^k$ . When he reaches the  $k$ -uple  $n_1 \dots n_k$ , he chooses the longest already reached position of the form  $n_1 p_1 \dots n_i p_i$ . We have  $i < k$  because this position was reached for a  $k$ -uple of integers which is different from  $n_1 \dots n_k$ . Then, he plays successively  $n_{i+1}, \dots, n_k$  without taking any account of the choices of player  $\forall$ . Then, he continues with the next  $k$ -uple of integers.

If the play is infinite, we obtain  $k$  functions  $\phi_i(x_1, \dots, x_i)$  such that :

$$\mathbb{N} \models \forall x_1 \dots \forall x_k \{f[x_1, \phi_1(x_1), x_2, \phi_2(x_1, x_2), \dots, x_k, \phi_k(x_1, \dots, x_k)] = 0\}$$

which is the Skolem form of  $\neg\Phi$ ; thus  $\mathbb{N} \models \neg\Phi$ .

Conversely, if  $\mathbb{N} \models \neg\Phi$ , there exist  $k$  functions  $\phi_i(x_1, \dots, x_i)$  such that the Skolem form of  $\neg\Phi$  is satisfied. They obviously provide a winning strategy for the opponent  $\forall$ .

**Theorem 21.** *Suppose that  $\mathbb{N} \models \exists x_1 \forall y_1 \dots \exists x_k \forall y_k (f(x_1, y_1, \dots, x_k, y_k) \neq 0)$  where  $f : \mathbb{N}^{2k} \rightarrow \mathbb{N}$  is an arbitrary function. Then the formula :*

$$\exists x_1 \{Int(x_1), \forall y_1 \exists x_2 \{Int(x_2), \dots \exists x_k \{Int(x_k), \forall y_k (f(x_1, y_1, \dots, x_k, y_k) \neq 0)\} \dots\}$$

*is realized by a proof-like term which is independent of  $f$  (it is, in fact, a usual closed  $\lambda$ -term).*

**Remarks.**

This formula is obviously stronger than  $\Phi^{Int}$ , which is therefore also realized. Theorem 21 is a generalization of theorem 20.

The integers of a generic model give therefore a first order model which is elementarily equivalent to  $\mathbb{N}$ .

The term which realizes  $\Phi$  is obtained by means of a program for the winning strategy given above.

For simplicity, we only consider the case where  $\mathbb{N} \models \Phi \equiv \exists m \forall n \exists p (f(m, n, p) \neq 0)$ .

We shall now write a proof-like term *independent of  $f$*  which realizes the formula :

$$\exists m \{Int(m), \forall n \exists p \{Int(p), f(m, n, p) \neq 0\}\}.$$

**Notations.**  $m, p$  are  $\lambda$ -variables, which represent Church integers;  $s_0$  and  $s_1$  are closed usual  $\lambda$ -terms such that the ordered pair  $(s_0 m p, s_1 m p)$  is the successor of the pair  $(m, p)$  in a fixed recursive enumeration of  $\mathbb{N}^2$  which begins with  $(0, 0)$ .

$\sigma$  is a variable which represents a finite sequence of ordered pairs  $(m_0, \eta_0), \dots, (m_k, \eta_k)$  where  $m_i$  is a Church integer and  $\eta_i$  a  $\lambda_c$ -term. In other words,  $\sigma$  represents a  $\lambda_c$ -term of the form  $\lambda h \lambda x (h m_0 \eta_0) \dots (h m_k \eta_k) x$ .

$H$  and  $\Sigma$  are closed usual  $\lambda$ -terms, defined as follows :

$H\sigma\eta m > \eta_i$  for the first  $i$  such that  $m = m_i$ , if  $m$  is equal to one of the integers  $m_i$  of the sequence  $\sigma$  ; otherwise  $H\sigma\eta m > \eta$ .

$\Sigma\sigma\eta m > \sigma$  if  $m$  is equal to one of the integers  $m_i$  of the sequence  $\sigma$  ; otherwise  $\Sigma\sigma\eta m > \tau$ , where  $\tau$  is the sequence obtained by adding the pair  $(m, \eta)$  at the end of the sequence  $\sigma$ .

**Theorem 22.** *Let  $f : \mathbb{N}^3 \rightarrow \mathbb{N}$  be an arbitrary function such that  $\mathbb{N} \models \exists m \forall n \exists p (f(m, n, p) \neq 0)$ .*

*Define a closed usual  $\lambda$ -term  $\theta$  by  $(\theta\xi)\sigma m p > (\xi m)\lambda\eta(\eta' p)(\theta\xi)\sigma' m' p'$*

*with  $\eta' = H\sigma\eta m$ ,  $\sigma' = \Sigma\sigma\eta m$ ,  $m' = s_0 m p$ ,  $p' = s_1 m p$ .*

*Then  $\lambda x \theta x 0 0 0 \Vdash \forall m \{ \text{Int}(m), \forall n [\forall p (\text{Int}(p) \rightarrow f(m, n, p) = 0) \rightarrow \perp] \rightarrow \perp \} \rightarrow \perp$ .*

Let  $\xi \Vdash \forall m \{ \text{Int}(m), \forall n [\forall p (\text{Int}(p) \rightarrow f(m, n, p) = 0) \rightarrow \perp] \rightarrow \perp \}$  ;

we have to show that  $\theta\xi 0 0 0 \Vdash \perp$ .

**Lemma 23.** *Let  $\eta_0, \dots, \eta_k \in \Lambda_c$  and  $m, p, m_0, n_0, \dots, m_k, n_k \in \mathbb{N}$ ,  $m_0, \dots, m_k$  being distinct integers ; suppose that  $\eta_i \Vdash \forall p \{ \text{Int}(p) \rightarrow f(m_i, n_i, p) = 0 \}$  for  $0 \leq i \leq k$ . We put :*

*$\sigma = \lambda h \lambda x (h m_0 \eta_0) \dots (h m_k \eta_k) x$ . If  $\theta\xi\sigma m p \not\Vdash \perp$ , then there exist  $n \in \mathbb{N}$  and  $\eta \in \Lambda_c$  such that  $\theta\xi\sigma' m' p' \not\Vdash \perp$ ,  $\eta \Vdash \forall p \{ \text{Int}(p) \rightarrow f(m, n, p) = 0 \}$  and  $f(m, n^*, p) = 0$  with  $n^* = n$  if  $m \neq m_i$  for  $0 \leq i \leq k$  ; otherwise,  $n^* = n_i$  for the (unique) integer  $i$  such that  $m = m_i$ .*

By definition of  $\theta$ , we have  $\xi m t_{\sigma m p} \not\Vdash \perp$  with  $t_{\sigma m p} = \lambda\eta(\eta' p)(\theta\xi)\sigma' m' p'$ .

By hypothesis on  $\xi$ , it follows that  $t_{\sigma m p} \not\Vdash \forall n [\forall p (\text{Int}(p) \rightarrow f(m, n, p) = 0) \rightarrow \perp]$ .

Thus, there exist  $n \in \mathbb{N}$ ,  $\rho \in \Pi$  and  $\eta \in \Lambda_c$ ,  $\eta \Vdash \forall p \{ \text{Int}(p) \rightarrow f(m, n, p) = 0 \}$ , such that :

$t_{\sigma m p} \star \eta \cdot \rho \notin \perp$ . Now, we have :  $t_{\sigma m p} \star \eta \cdot \rho > \eta' p \cdot \theta\xi\sigma' m' p' \star \rho$ , thus  $\eta' p \cdot \theta\xi\sigma' m' p' \star \rho \notin \perp$ .

We have  $\eta' = H\sigma\eta m$  and therefore, by definition of  $H$  and  $n^*$ , we have :

$\eta' \Vdash \forall p \{ \text{Int}(p) \rightarrow f(m, n^*, p) = 0 \}$ .

If  $f(m, n^*, p) \neq 0$ , then  $\eta' p \Vdash \top \rightarrow \perp$  ; therefore  $\eta' p \cdot \theta\xi\sigma' m' p' \Vdash \perp$  which is a contradiction.

Therefore, we have  $f(m, n^*, p) = 0$  and it follows that  $\eta' p \Vdash 0 = 0$ , that is  $\eta' p \Vdash \perp \rightarrow \perp$  ;

if  $\theta\xi\sigma' m' p' \Vdash \perp$ , we get once more the contradiction  $\eta' p \cdot \theta\xi\sigma' m' p' \Vdash \perp$ .

C.Q.F.D.

Now suppose that  $\theta\xi 0 0 0 \not\Vdash \perp$  ; applying iteratively lemma 23 (starting with  $m = p = 0$  and  $k = -1$ ) we obtain a sequence  $(m_i, n_i, p_i, \eta_i)_{i \in \mathbb{N}}$  such that :

- $(m_i, p_i)_{i \in \mathbb{N}}$  is the fixed enumeration of  $\mathbb{N}^2$  which begins with  $(0, 0)$  ;
  - if we put  $\phi(m) = n_j$  for the first  $j$  such that  $m = m_j$ , then  $f(m_i, \phi(m_i), p_i) = 0$  for every  $i \in \mathbb{N}$ .
- It follows that  $\mathbb{N} \models \forall m \forall p [f(m, \phi(m), p) = 0]$  and therefore  $\mathbb{N} \not\models \exists m \forall n \exists p [f(m, n, p) = 1]$ .

C.Q.F.D.

Without any supplementary effort, we can add two universal quantifiers : in head position, a quantifier  $\forall l$  is justified because the  $\lambda$ -term which we obtained does not depend on  $f$ . The last quantifier  $\forall q$  is justified, because the equation  $f(m, n, p) = 0$  can be written :

$f(m, n, p) \neq 0 \rightarrow \perp$ , which only takes the truth values  $\top \rightarrow \perp$  and  $\perp \rightarrow \perp$ . Now, we replace it with  $\forall q (f(l, m, n, p, q) \neq 0) \rightarrow \perp$  which has the same property. Therefore, we get :

**Theorem 24.** *Let  $f : \mathbb{N}^5 \rightarrow \mathbb{N}$  be an arbitrary function such that*

*$\mathbb{N} \models \forall l \exists m \forall n \exists p \forall q (f(l, m, n, p, q) \neq 0)$  and let  $\theta$  be the closed  $\lambda$ -term defined in theorem 22.*

*Then we have :  $\lambda x \theta x 0 0 0 \Vdash \forall l \exists m \{ \text{Int}(m), \forall n \exists p \{ \text{Int}(p), \forall q [f(l, m, n, p, q) \neq 0] \} \}$*

*which is the formula :*

$\forall l [\forall m \{ \text{Int}(m), \forall n [\forall p (\text{Int}(p), \forall q (f(l, m, n, p, q) \neq 0) \rightarrow \perp) \rightarrow \perp] \rightarrow \perp \} \rightarrow \perp]$ .

## Foundation axiom in generic models

**Definition.** We define a new atomic formula denoted by  $x = y \mapsto X$ , where  $X$  is a propositional variable, by putting for every  $m, n \in \mathbb{N}$ :  $\|m = n \mapsto X\| = \|m \neq n\| \cap \|X\|$ .

In other words,  $\|m = n \mapsto X\| = \|X\|$  if  $m = n$ , else  $\|m = n \mapsto X\| = \emptyset$ .

It is immediate that  $x = y \mapsto \neg X$  is the same as  $X \rightarrow x \neq y$ . This shows that  $x = y \mapsto X$  can be used in place of  $x = y \rightarrow X$ , with the advantage of simpler truth values. We prove this directly, in order to get ordinary  $\lambda$ -terms (without cc).

**Lemma 25.** *The formula  $\forall X \forall x \forall y \{(x = y \mapsto X) \leftrightarrow (x = y \rightarrow X)\}$  is realized.*

**Proof for  $\leftarrow$  :** let  $m, n \in \mathbb{N}$ ; we consider the formula  $(m = n \rightarrow X) \rightarrow (m = n \mapsto X)$ . If  $m \neq n$ , it is  $(m = n \rightarrow X) \rightarrow \top$ , so that we may suppose that  $m = n$ . Then, it is  $(m = m \rightarrow X) \rightarrow X$ , which is realized by  $\lambda x x I$ .

**Proof for  $\rightarrow$  :** consider the formula  $(m = n \mapsto X), m = n \rightarrow X$ .

If  $m = n$ , it is:  $X, m = m \rightarrow X$ , i.e.  $X, \forall Y (Y \rightarrow Y) \rightarrow X$ .

If  $m \neq n$ , it is:  $\top, m = n \rightarrow X$ , i.e.  $\top, (\top \rightarrow \perp) \rightarrow X$ .

Both formulas are realized by  $\lambda x \lambda y y x$ .

C.Q.F.D.

We have the induction properties :

$x = y \mapsto \perp \equiv x \neq y$ ;  $x = y \mapsto (A \rightarrow B) \equiv A \rightarrow (x = y \mapsto B)$ ;

$x = y \mapsto \forall z A \equiv \forall z (x = y \mapsto A)$ ;  $x = y \mapsto \forall Z A \equiv \forall Z (x = y \mapsto A)$ .

(we write  $A \equiv B$  to mean that  $\|A\| = \|B\|$  for every choice of  $\perp$ ).

This shows that the formula  $x = y \mapsto A$  can be obtained by putting “ $x = y \mapsto$ ” in front of the final atomic formula of  $A$  (avoiding capture of variables in the usual way).

For instance,  $x = y \mapsto (X_1, \dots, X_n \rightarrow \perp)$  is the same as  $X_1, \dots, X_n \rightarrow x \neq y$ .

We note also that  $(x = y \mapsto X) \rightarrow x \neq y \equiv X \rightarrow x \neq y$ .

**Definition.** The *Turing combinator* is the closed  $\lambda$ -term  $Y = AA$  with  $A = \lambda a \lambda f (f)(a) a f$ . Thus, we have  $Y \star t. \pi > t \star Y t. \pi$  for every term  $t$  and every stack  $\pi$ .

**Theorem 26** (Well founded relations). *Let  $\phi, \psi : \mathbb{N}^2 \rightarrow \mathbb{N}$  be such that the equation  $\phi(x, y) = \psi(x, y)$  defines a well founded binary relation on  $\mathbb{N}$ . Then :*

i)  $Y \Vdash \forall X \{ \forall y [ \forall x (\phi(x, y) = \psi(x, y) \mapsto X x) \rightarrow X y ] \rightarrow \forall y X y \}$ .

ii)  $Y \Vdash \forall X \{ \forall y [ \forall x (X x \rightarrow \phi(x, y) \neq \psi(x, y)) \rightarrow \neg X y ] \rightarrow \forall y \neg X y \}$ .

*Let  $F(x, y)$  be a formula such that  $\|F(x, y)\| \neq \emptyset$  is a well founded binary relation on  $\mathbb{N}$ . Then :*

iii)  $Y \Vdash \forall X \{ \forall y [ \forall x (X x \rightarrow F(x, y)) \rightarrow \neg X y ] \rightarrow \forall y \neg X y \}$ .

i) We denote by  $m \sqsubset n$  the well founded relation  $\phi(m, n) = \psi(m, n)$  on  $\mathbb{N}$ .

Let  $\mathcal{X} : \mathbb{N} \rightarrow \mathcal{P}(\Pi)$  and  $t \Vdash \forall y [ \forall x (\phi(x, y) = \psi(x, y) \mapsto \mathcal{X} x) \rightarrow \mathcal{X} y ]$ . We show, by induction on  $k$  following the relation  $\sqsubset$ , that we have  $Y \star t. \pi \in \perp$  for every  $\pi \in \mathcal{X} k$ . By induction hypothesis, we have  $Y \star t. \rho \in \perp$  (and thus also  $Y t \star \rho \in \perp$ ) for every  $\rho \in \bigcup_{m \sqsubset k} \mathcal{X} m$ . But  $\bigcup_{m \sqsubset k} \mathcal{X} m = \| \forall x (\phi(x, k) = \psi(x, k) \mapsto \mathcal{X} x) \|$  and it follows that :

$Y t \Vdash \forall x (\phi(x, k) = \psi(x, k) \mapsto \mathcal{X} x)$ . Thus, by hypothesis on  $t$ , we have  $t \star Y t. \pi \in \perp$  for every  $\pi \in \mathcal{X} k$ . But we have  $Y \star t. \pi > t \star Y t. \pi$  hence the result.

ii) Replace  $X x$  with  $\neg X x$  in (i).

iii) Define  $\phi : \mathbb{N}^2 \rightarrow \{0, 1\}$  by  $\phi(x, y) = 1 \Leftrightarrow \|F(x, y)\| \neq \emptyset$ . Then, by (ii), we get :



$Y \Vdash \forall X \{ \forall y [ \forall x (Xx \rightarrow \phi(x, y) \neq 1) \rightarrow \neg Xy ] \rightarrow \forall y \neg Xy \}$ .

Now, we have  $\|F(x, y)\| \subset \|\phi(x, y) \neq 1\|$ , since  $\|F(x, y)\| \neq \emptyset \Rightarrow \|\phi(x, y) \neq 1\| = \Pi$ . Thus :

$$\begin{aligned} & \|\forall X \{ \forall y [ \forall x (Xx \rightarrow F(x, y)) \rightarrow \neg Xy ] \rightarrow \forall y \neg Xy \}\| \\ & \subset \|\forall X \{ \forall y [ \forall x (Xx \rightarrow \phi(x, y) \neq 1) \rightarrow \neg Xy ] \rightarrow \forall y \neg Xy \}\|. \end{aligned}$$

Hence the result.

C.Q.F.D.

We note the following corollary for later use.

**Corollary 27.**  $Y \Vdash \forall X \{ \forall n ( \bigcap_{m < n} |Xm| \rightarrow Xn ) \rightarrow \forall n Xn \}$ .

It is the particular case of theorem 26(i), when the equation  $\phi(x, y) = \psi(x, y)$  defines the usual strict order relation  $x < y$  on  $\mathbb{N}$ .

C.Q.F.D.

The following (equivalent) formulas will be called the *weak recurrence axiom* :

$$(WRA) \quad \forall X \{ \forall y [ \forall x (y = sx \mapsto Xx) \rightarrow Xy ] \rightarrow \forall y Xy \}$$

$$(WRA') \quad \forall X \{ \forall y [ \forall x (Xx \rightarrow y \neq sx) \rightarrow \neg Xy ] \rightarrow \forall y \neg Xy \}$$

Since  $y = sx$  defines a well founded relation on  $\mathbb{N}$ , we have by theorem 26 :

$$Y \Vdash WRA \text{ and } Y \Vdash WRA'$$

Since the successor function  $s$  is injective (by the equation  $psx = x$ ), the formula :

$\forall y [ \forall x (sx = y \mapsto Xx) \rightarrow Xy ]$  is equivalent to the conjunction of  $\forall x (Xx \rightarrow Xsx)$  and  $\forall x (x \simeq 0 \rightarrow Xx)$  where  $x \simeq 0$  is the formula  $\forall x' (x \neq sx')$ .

Thus, (WRA) is equivalent to :

$$(WRA^*) \quad \forall X \{ \forall x (Xx \rightarrow Xsx), \forall x (x \simeq 0 \rightarrow Xx) \rightarrow \forall x Xx \}.$$

which is the form of the weak recurrence axiom we shall use most often. In the next theorem, we write explicitly the associated  $\lambda_c$ -terms, for later use.

**Theorem 28.** i) If  $\alpha \Vdash \forall x (Xx \rightarrow Xsx)$ ,  $\beta \Vdash \forall x (x \simeq 0 \rightarrow Xx)$  then :

$$Y \lambda z c c \lambda k (\beta) (k) (\alpha) z \Vdash \forall x Xx.$$

ii) If  $\alpha \Vdash \forall x (Xsx \rightarrow Xx)$ ,  $\beta \Vdash \forall x (Xx, x \simeq 0 \rightarrow \perp)$  then :

$$Y \lambda z \lambda x (\beta x) (z) (\alpha) x \Vdash \forall x \neg Xx.$$

i) By WRA, we need to prove :

$$\lambda z c c \lambda k (\beta) (k) (\alpha) z \Vdash \forall y [ \forall x (y = sx \mapsto Xx) \rightarrow Xy ].$$

Let  $n \in \mathbb{N}$ ,  $\zeta \Vdash \forall x (n = sx \mapsto Xx)$  and  $\pi \in \|Xn\|$ . We have to prove that :

$\beta \star (k_\pi) (\alpha) \zeta . \pi \in \perp$  and, by hypothesis on  $\beta$ , it is sufficient to prove  $(k_\pi) (\alpha) \zeta \Vdash n \simeq 0$ .

If  $n = 0$ , this is true because  $\|n \simeq 0\| = \emptyset$ . If  $n \neq 0$ , then  $n = sm$  and  $\zeta \Vdash n = sm \mapsto Xm$ , i.e.  $\zeta \Vdash Xm$ . Thus  $\alpha \zeta \Vdash Xsm$ , i.e.  $\alpha \zeta \Vdash Xn$  and  $(k_\pi) (\alpha) \zeta \Vdash \perp$ .

ii) By WRA', we need to prove :

$$\lambda z \lambda x (\beta x) (z) (\alpha) x \Vdash \forall y [ \forall x (Xx \rightarrow y \neq sx), Xy \rightarrow \perp ].$$

Let  $n \in \mathbb{N}$ ,  $\zeta \Vdash \forall x (Xx \rightarrow n \neq sx)$ ,  $\xi \Vdash Xn$  and  $\pi \in \Pi$ . We have to prove that :

$\beta \star \xi . (\zeta) (\alpha) \xi . \pi \in \perp$  and, by hypothesis on  $\beta$ , it is sufficient to prove  $(\zeta) (\alpha) \xi \Vdash n \simeq 0$ .

If  $n = 0$ , this is true because  $\|n \simeq 0\| = \emptyset$ . If  $n \neq 0$ , then  $n = sm$ , thus  $\xi \Vdash Xsm$ ,  $\alpha \xi \Vdash Xm$  and  $(\zeta) (\alpha) \xi \Vdash n \neq sm$ , i.e.  $(\zeta) (\alpha) \xi \Vdash \perp$ .

C.Q.F.D.

**Remark.** We have  $|\forall y [ \forall x (y = sx \mapsto Xx) \rightarrow Xy ]| = |\top \rightarrow X0| \cap \bigcap_{n \in \mathbb{N}} |Xn \rightarrow Xsn|$ . Thus, by WRA :

If  $t \Vdash \top \rightarrow X0$  and  $t \Vdash Xn \rightarrow Xsn$  for each  $n \in \mathbb{N}$ , then  $\forall t \Vdash \forall x Xx$ .

In the next theorem, we show that each individual  $x$  has a *rank* which is the unique integer  $n$  such that  $x = n + y$  with  $y \simeq 0$ .

Notation.  $\exists!x F(x)$  is  $\forall X\{\forall x(F(x) \rightarrow X) \rightarrow X\} \wedge \forall x\forall x'[F(x), F(x') \rightarrow x = x']$ .

$\exists!x\{F_1(x), \dots, F_k(x)\}$  is the conjunction of  $\forall X\{\forall x(F_1(x), \dots, F_k(x) \rightarrow X) \rightarrow X\}$  and  $\forall x\forall x'[F_1(x), F_1(x'), \dots, F_k(x), F_k(x') \rightarrow x = x']$ .

**Theorem 29.** *The formula  $\forall x\exists!n\exists!y\{Int(n), y \simeq 0, x = n + y\}$  is realized, where  $y \simeq 0$  is the formula  $\forall z(y \neq sz)$ .*

Write this formula  $\forall x F[x]$ ; by the weak recurrence axiom (WRA\*), it is sufficient to show

(i)  $\forall x(F[x] \rightarrow F[sx])$  and (ii)  $\forall y(y \simeq 0 \rightarrow F[y])$ .

i) If  $x = n + y$  with  $Int(n)$  and  $y \simeq 0$ , then  $sx = sn + y$  and we have  $Int(sn)$ .

If  $sx = n' + y'$  with  $Int(n')$  and  $y' \simeq 0$ , then  $n' \neq 0$ , thus  $n' = sp$ , and we have  $x = p + y'$ . By the hypothesis  $F[x]$ , we get  $p = n$  and  $y' = y$ .

ii) If  $y \simeq 0$ , then we have  $y = 0 + y$ ; if  $y = n' + y'$  with  $Int(n')$  and  $y' \simeq 0$ , then  $n'$  cannot be a successor, thus  $n' = 0$  and  $y' = y$ .

C.Q.F.D.

We write  $x \simeq x'$  if  $x, x'$  have the same rank. In this way, we can consider integers as equivalence classes. It is another interpretation of the recurrence scheme : instead of restricting individuals to integers, we keep individuals and we restrict to predicates which are saturated for this equivalence relation. This method gives a model of second order arithmetic which is clearly isomorphic with the preceding one.

### Streams of integers

The following theorem gives the specification associated with a formula of the form :

$\forall x\exists y\{Int(y), \forall z[f(x, y, z) \neq g(x, y, z)]\}$  when  $\mathbb{N} \models \forall x\exists y\forall z[f(x, y, z) \neq g(x, y, z)]$ .

**Theorem 30.** *Suppose that  $\mathbb{N} \models \forall x\exists y\forall z[f(x, y, z) \neq g(x, y, z)]$ ; let  $\theta$  be a proof-like term such that  $\theta \Vdash \forall x\exists y\{Int(y), \forall z[f(x, y, z) \neq g(x, y, z)]\}$  for every choice of  $\perp$  (such a term exists, by theorem 20). Then we have, for every stack  $\pi$  :*

$\theta \star T\kappa.\pi > \kappa \star \underline{n}_0.t_0.\pi, t_0 \star \pi > \kappa \star \underline{n}_1.t_1.\pi, \dots, t_i \star \pi > \kappa \star \underline{n}_{i+1}.t_{i+1}.\pi, \dots$  and for every  $m \in \mathbb{N}$  there exists  $i$  such that  $\mathbb{N} \models \forall z[f(m, n_i, z) \neq g(m, n_i, z)]$ .

By lemma 25, the formula  $\forall X\forall x\{\forall y[Int(y), \forall z(f(x, y, z) = g(x, y, z) \rightarrow X) \rightarrow X] \rightarrow X\}$  is equivalent to  $\forall X\forall x\{\forall y[Int(y) \rightarrow \exists z(f(x, y, z) = g(x, y, z)) \vee X] \rightarrow X\}$ , and therefore to :

$\forall x\exists y\{Int(y), \forall z[f(x, y, z) \neq g(x, y, z)]\}$ . We can thus suppose :

$\theta \Vdash \forall X\forall x\{\forall y[Int(y), \forall z(f(x, y, z) = g(x, y, z) \rightarrow X) \rightarrow X] \rightarrow X\}$ .

Consider an integer  $m$  and a stack  $\pi$ ; we put  $\|X\| = \{\pi\}$  and

$\perp = \{\rho \in \Lambda_c \star \Pi; \rho > \kappa \star \underline{n}_0.t_0.\pi, t_0 \star \pi > \kappa \star \underline{n}_1.t_1.\pi, \dots, t_i \star \pi > \kappa \star \underline{n}_{i+1}.t_{i+1}.\pi, \dots$  and  $(\exists i \in \mathbb{N})(\forall z \in \mathbb{N}) f(m, n_i, z) \neq g(m, n_i, z)\}$ .

We need to prove  $\theta \star T\kappa.\pi \in \perp$ , and for this, it is sufficient that :

$T\kappa \Vdash \forall y[Int(y), \forall z(f(m, y, z) = g(m, y, z) \rightarrow X) \rightarrow X]$  for every  $m \in \mathbb{N}$ . By theorem 11, we have to show that  $\kappa \star \underline{n}.t.\pi \in \perp$  for every  $n \in \mathbb{N}$  and every  $t$  such that :

$t \Vdash \forall z(f(m, n, z) = g(m, n, z) \rightarrow X)$ . In other words, we must check :

i)  $(\forall z \in \mathbb{N})(f(m, n, z) \neq g(m, n, z)) \Rightarrow \kappa \star \underline{n}.t.\pi \in \perp$ ; this is clear, by definition of  $\perp$ .

ii)  $t \Vdash X \Rightarrow \kappa \star \underline{n}.t.\pi \in \perp$ , in other words :  $t \star \pi \in \perp \Rightarrow \kappa \star \underline{n}.t.\pi \in \perp$  ; this is also clear, again by definition of  $\perp$ .

C.Q.F.D.

For instance, the formula  $\forall x \exists y \{Int(y), \forall z (x \neq y + z)\}$  is a specification for the “ streams of integers ” which take arbitrarily high values. If we define  $e : \mathbb{N}^2 \rightarrow \{0, 1\}$  by  $e(m, n) = 1 \Leftrightarrow m = n$ , the formula  $\forall x \exists y \{Int(y), e(x, y) \neq 0\}$  is a specification for the “ streams of integers ” which take every possible value. It is the same for the formula (which is equivalent to the former one) :  $\forall x \exists y \{Int(y), \forall z (x^2 + y^2 \neq 2xy + sz)\}$ .

## The axiom of choice in analysis

In order to get programs from proofs in classical analysis, it remains to realize *the axiom of dependent choice* or a slightly weaker form : *the axiom of countable choice*. This axiom is, indeed, used very often in analysis.

We consider first the axiom of countable choice which is technically simpler to realize. It consists of the following axiom scheme :

$$\forall \vec{Y} \exists Z \forall x (\exists X F[x, X, \vec{Y}] \rightarrow F[x, Z(x, y) / Xy, \vec{Y}]).$$

$F$  is a second order formula in which the binary predicate variable  $Z$  does not appear ;  $X$  is of arity 1 ;  $\vec{Y} = (Y_1, \dots, Y_n)$  is a finite sequence of second order variables (which are, in fact, parameters).

We shall rather write this axiom in contrapositive form :

$$(CAC) \quad \forall \vec{Y} \exists Z \forall x (F[x, Z(x, y) / Xy, \vec{Y}] \rightarrow \forall X F[x, X, \vec{Y}]).$$

This means that  $Z(x, y)$  is a counter-example to  $\forall X F[x, X]$ , if there is one.

In order to realize this axiom scheme, we add a new instruction, which is denoted by  $\chi$  ; its reduction rule is given as follows : consider a fixed bijection  $n \mapsto \pi_n$  from  $\mathbb{N}$  onto  $\Pi$  (which we do not even need to suppose recursive) ; let  $\pi \mapsto n_\pi$  be the inverse function. For each  $n \in \mathbb{N}$ , we denote by  $\underline{n}$  a fixed  $\lambda$ -term which is  $\simeq_\beta \lambda f \lambda x (f)^n x$ , for instance  $\lambda f \lambda x (f)^n x$  itself, or  $s^n 0$ , where  $s$  is a  $\lambda$ -term for the successor. The reduction rule for  $\chi$  is then the following :

$$\chi \star t.\pi > t \star \underline{n}_\pi.\pi \text{ for every } t \in \Lambda_c \text{ and } \pi \in \Pi.$$

**Remark.** We shall examine later the intuitive meaning of this reduction rule.

Of course, from now on, the set  $\perp$  of processes is supposed to be cc-saturated for this new notion of reduction.

### Theorem 31.

Let  $F[x, X]$  be a formula with parameters,  $X$  being a unary predicate variable. There exists a function  $U : \mathbb{N}^3 \rightarrow \mathcal{P}(\Pi)$  such that :

$$\chi \Vdash \forall x \{ \forall n (Int[n] \rightarrow F[x, U(x, n, y) / Xy]) \rightarrow \forall X F[x, X] \}.$$

For each individual  $x$  and each stack  $\pi$ , we have :

$$\pi \in \|\forall X F[x, X]\| \Leftrightarrow (\exists R \in \mathcal{P}(\Pi)^{\mathbb{N}}) \pi \in \|F[x, R / X]\|.$$

By the axiom of countable choice, there exists a function  $U : \mathbb{N}^3 \rightarrow \mathcal{P}(\Pi)$  such that :

$\pi \in \|\forall X F[x, X]\| \Leftrightarrow \pi \in \|F[x, U(x, n_\pi, y) / Xy]\|$ . Let us show that  $U$  has the desired property : we consider an individual  $x \in \mathbb{N}$ ,  $t \in |\forall n (Int[n] \rightarrow F[x, U(x, n, y) / Xy])|$  and  $\pi \in \|\forall X F[x, X]\|$ .

We have to show that  $\chi \star t.\pi \in \perp$ . By the reduction rule of  $\chi$ , it is sufficient to prove that  $t \star \underline{n}_\pi.\pi \in \perp$ . But this follows immediately from :

- $t \Vdash \text{Int}(s^{n_\pi}0) \rightarrow F[x, U(x, n_\pi, y)/Xy]$  which is true by hypothesis on  $t$  ;
- $\underline{n}_\pi \Vdash \text{Int}(s^{n_\pi}0)$  by theorem 16 ;
- $\pi \in \Vdash F[x, U(x, n_\pi, y)/Xy]$  by hypothesis on  $\pi$  and by definition of  $U$ .

C.Q.F.D.

The formula  $\exists U \forall x \{ \forall n (\text{Int}[n] \rightarrow F[x, U(x, n, y)/Xy]) \rightarrow \forall X F[x, X] \}$  is therefore realized by  $\lambda x x\chi$ . But this formula implies, in classical second order logic, the countable axiom of choice (CAC), that is  $\exists Z \forall x \{ F[x, Z(x, y)/Xy] \rightarrow \forall X F[x, X] \}$ .

The proof is easy : it is sufficient, by means of the comprehension scheme, to define  $Z(x, y)$  by the following formula : “  $U(x, n, y)$  for the first integer  $n$  such that  $\neg F[x, U(x, n, y)/Xy]$  if there is one ”. Notice that this proof uses the excluded middle ; therefore, the  $\lambda_c$ -term we get to realize CAC contains the instructions  $\chi$  and  $\text{cc}$ .

However, a careless formalization of this proof will give a complicated and not very comprehensible term. It is therefore interesting to give an explicit and more refined method, in order to obtain a simple term. This is done below in the section “ A program for the countable choice axiom ”.

## The axiom of dependent choice

It is the following axiom scheme :

$$\forall X \exists Y H[X, Y] \rightarrow \exists Z \forall k (\text{Int}[k] \rightarrow H[Z(k, y)/Xy, Z(k+1, y)/Yy])$$

for every formula  $H$  which does not contain the variable  $Z$ .

We write this scheme in the following form :

$$(\text{DC}) \quad \exists Z \forall k (\text{Int}[k], F[Z(k, y)/Xy, Z(k+1, y)/Yy] \rightarrow \forall Y F[Z(k, y)/Xy, Y])$$

for every formula  $F$  which does not contain the variable  $Z$ .

The first form follows immediately from this one, by setting  $F \equiv \neg H$ . Conversely, we get the second form if we set  $H(X, Y) \equiv [F(X, Y) \rightarrow \forall Y F(X, Y)]$  in the first one :

indeed,  $\forall X \exists Y H[X, Y]$  is then provable.

Let  $\langle x, y \rangle$  be a symbol of binary function, which represents a bijection of  $\mathbb{N}^2$  onto  $\mathbb{N}$ . We suppose it to be recursive so that theorem 13 applies.

**Lemma 32.** *For every  $U : \mathbb{N}^2 \rightarrow \mathcal{P}(\Pi)$ , there exists  $V : \mathbb{N}^2 \rightarrow \mathcal{P}(\Pi)$  such that :*  
 $\chi \Vdash \forall n \{ \text{Int}[n] \rightarrow F[U(x, y)/Xy, V(\langle n, x \rangle, y)/Yy] \} \rightarrow \forall Y F[U(x, y)/Xy, Y]$ .

Same proof as for theorem 31. For each individual  $x$  and each stack  $\pi$ , we have :

$$\pi \in \Vdash \forall Y F[U(x, y)/Xy, Y] \Leftrightarrow (\exists R \in \mathcal{P}(\Pi)^{\mathbb{N}}) \pi \in \Vdash F[U(x, y)/Xy, R/Y]$$

It follows, by the countable choice axiom, that there exists a function  $V : \mathbb{N}^2 \rightarrow \mathcal{P}(\Pi)$  such that  $\pi \in \Vdash \forall Y F[U(x, y)/Xy, Y] \Leftrightarrow \pi \in \Vdash F[U(x, y)/Xy, V(\langle n_\pi, x \rangle, y)/Yy]$ .

We now show that  $V$  has the desired property : consider  $\pi \in \Vdash \forall Y F[U(x, y)/Xy, Y]$  and  $t \in \Vdash \forall n \{ \text{Int}[n] \rightarrow F[U(x, y)/Xy, V(\langle n, x \rangle, y)/Yy] \}$ . We have to show that  $\chi \star t.\pi \in \perp$ , that is  $t \star \underline{n}_\pi.\pi \in \perp$ . But this follows immediately from :

- $t \Vdash \text{Int}(s^{n_\pi}0) \rightarrow F[U(x, y)/Xy, V(\langle n_\pi, x \rangle, y)/Yy]$  by hypothesis on  $t$  ;

- $\underline{n}_\pi \Vdash \text{Int}(s^{n_\pi} 0)$  by theorem 16 ;
  - $\pi \in \Vdash F[U(x, y)/Xy, V(<n_\pi, x>, y)/Yy]$  by hypothesis on  $\pi$  and by definition of  $V$ .
- C.Q.F.D.

**Theorem 33.** *Let  $F[X, Y]$  be a formula with parameters,  $X, Y$  being unary predicate variables. Then, there exists  $A: \mathbb{N}^3 \rightarrow \mathcal{P}(\Pi)$  such that :*

$$\chi \Vdash \forall x \forall k (\forall n \{ \text{Int}[n] \rightarrow F[A(k, x, y)/Xy, A(k+1, <n, x>, y)/Yy] \} \rightarrow \forall Y F[A(k, x, y)/Xy, Y]).$$

By lemma 32, we have  $(\forall U \in \mathcal{P}(\Pi)^{\mathbb{N}^2})(\exists V \in \mathcal{P}(\Pi)^{\mathbb{N}^2})\Phi(U, V)$  where  $\Phi(U, V)$  is the formula  $\chi \Vdash \forall n \{ \text{Int}[n] \rightarrow F[U(x, y)/Xy, V(<n, x>, y)/Yy] \} \rightarrow \forall Y F[U(x, y)/Xy, Y]$ .

Therefore, we get the result by application of the axiom of dependent choice (in the usual form, i.e. the first one) to the formula  $\Phi(U, V)$ .

C.Q.F.D.

By theorem 33, in order to realize the axiom scheme (DC), it is sufficient to derive it, in classical second order logic, from the formula :

$$\widehat{F}[A] \equiv \forall x \forall k (\forall n \{ \text{Int}[n] \rightarrow F[A(k, x, y)/Xy, A(k+1, <n, x>, y)/Yy] \} \rightarrow \forall Y F[A(k, x, y)/Xy, Y]).$$

But the formula  $\widehat{F}[A]$  is trivially equivalent to  $\forall x \forall k \exists n \{ \text{Int}[n], G[x, k, n, A] \}$  with :

$$G[x, k, n, A] \equiv F[A(k, x, y)/Xy, A(k+1, <n, x>, y)/Yy] \rightarrow \forall Y F[A(k, x, y)/Xy, Y].$$

Thus, we can define inductively a sequence of integers  $n_k$ , by the conditions  $n_0 = 0$  and  $n_{k+1} = <n, n_k>$  for the first integer  $n$  such that  $G[n_k, k, n, A]$ . Now, if we define  $Z(k, y)$  by  $A(k, n_k, y)$ , we get  $F[Z(k, y)/Xy, Z(k+1, y)/Yy] \rightarrow \forall Y F[Z(k, y)/Xy, Y]$  for each integer  $k$ , that is (DC).

## Variants and interpretations

We get the same results using, instead of  $\chi$ , a dual instruction  $\chi^*$ , which operates on terms instead of stacks. Consider a bijection  $n \mapsto \tau_n$  from  $\mathbb{N}$  onto  $\Lambda_c$  and let  $t \mapsto n_t$  be the inverse function. Then the reduction rule for  $\chi^*$  is the following :

$$\chi^* \star t.\pi > t \star \underline{n}_t.\pi \text{ for every } t \in \Lambda_c \text{ and } \pi \in \Pi.$$

We have the analogue of theorem 31, the proof being a little more complicated.

### Theorem 34.

*Let  $F[x, X]$  be a formula with parameters,  $X$  being a unary predicate variable. There exists  $U: \mathbb{N}^3 \rightarrow \mathcal{P}(\Pi)$  such that :  $\chi^* \Vdash \forall x \{ \forall n (\text{Int}[n] \rightarrow F[x, U(x, n, y)/Xy]) \rightarrow \forall X F[x, X] \}$ .*

For each  $n \in \mathbb{N}$  we put  $P_n(\perp) = \{ \pi \in \Pi; \tau_n \star \underline{n}.\pi \notin \perp \}$ . For each individual  $x$ , we have :  $\| \forall X F[x, X] \| = \bigcup \{ \| F[x, R/X] \|; R \in \mathcal{P}(\Pi)^{\mathbb{N}} \}$ .

It follows that, given  $x, n \in \mathbb{N}$  such that  $P_n(\perp) \cap \| \forall X F[x, X] \| \neq \emptyset$ , there exists a function  $R: \mathbb{N} \rightarrow \mathcal{P}(\Pi)$  such that :

$P_n(\perp) \cap \| F[x, Ry/Xy] \| \neq \emptyset$ . By the axiom of countable choice, there exists a function  $U: \mathbb{N}^3 \rightarrow \mathcal{P}(\Pi)$  which has the following property :

if  $P_n(\perp) \cap \| \forall X F[x, X] \| \neq \emptyset$  then  $P_n(\perp) \cap \| F[x, U(x, n, y)/Xy] \| \neq \emptyset$ .

Now, let  $x \in \mathbb{N}$ ,  $\pi \in \| \forall X F[x, X] \|$  and  $t \in | \forall n (\text{Int}[n] \rightarrow F[x, U(x, n, y)/Xy]) |$ . We have to show that  $\chi^* \star t.\pi \in \perp$  and, by the reduction rule of  $\chi^*$ , it is sufficient to show that :

$t \star \underline{n}_t.\pi \in \perp$ . If it is not true, we put  $n = n_t$ , and therefore  $\tau_n = t$ ; we have then :  
 $\pi \in P_n(\perp) \cap \|\forall X F[x, X]\|$ .

By definition of  $U$ , there exists  $\pi' \in P_n(\perp) \cap \|F[x, U(x, n, y)/Xy]\|$ . From  $\pi' \in P_n(\perp)$ , we deduce  $\tau_n \star \underline{n}.\pi' \notin \perp$ . But, since  $\pi' \in \|F[x, U(x, n, y)/Xy]\|$ , it follows, by hypothesis on  $t$ , that  $t \star \underline{n}.\pi' \in \perp$ , because  $\underline{n} \Vdash \text{Int}[s^n 0]$  (theorem 16). It is a contradiction, because  $t = \tau_n$ .

C.Q.F.D.

We show, in the same way, the analogue of lemma 32. We can then realize the axioms of denumerable and dependent choice, by a pure repetition of the above arguments, using  $\chi^*$  instead of  $\chi$ .

We now remark that instructions analogous to  $\chi$  and  $\chi^*$  are very common in programming :

### The 'quote' instruction

The reduction rule of instruction  $\chi^*$ , which is :

$$(*) \quad \chi^* \star t.\pi > t \star \underline{n}_t.\pi$$

shows that  $\chi$  is very similar to the instruction quote of LISP. Indeed,  $\underline{n}_t$  can be used in the same way that (quote  $t$ ), if we assume that  $t \mapsto n_t$  is a recursive bijection from  $\Lambda_c$  onto  $\mathbb{N}$ . For instance, since  $n_u$  is, in this case, a recursive function of  $n_{tu}$ , we can define, by means of  $\chi^*$ , an instruction  $\chi'$  such that  $\chi' \star \phi.\psi.\pi > \phi \star n_\psi.\pi$  : let  $a$  be a closed  $\lambda$ -term such that  $(a)\underline{n}_{tu} \simeq_\beta \underline{n}_u$  and let  $\chi' = \lambda x \lambda y (\chi^*)(\lambda d x \circ a)y$ . As this example shows, the instruction  $\chi^*$  is not compatible with  $\beta$ -reduction : in fact, we cannot replace  $(\lambda d x \circ a)y$  with  $x \circ a$ .

### The signature

An objection to the interpretation above is that quote is a reversible instruction, which is almost always used with its reverse unquote or eval. On the contrary, even if we suppose that  $\chi$  (resp.  $\chi^*$ ) is one-to-one from  $\Pi$  (resp.  $\Lambda_c$ ) onto  $\mathbb{N}$ , *we do not make use of the inverse function*. It is therefore more relevant (and much easier to implement) to interpret  $\underline{n}_t$ , in the reduction rule (\*) above, as the *signature* of  $t$  : it is an integer which is computed from the text of  $t$  and which is supposed to characterize it. Examples of well known usual programs for signature are MD-5 (for " Message Digest ") or SHA-1 (for " Secure Hash Algorithm ").

Since these programs give integers of fixed length (128 bits for MD-5 and 160 bits for SHA-1), they clearly do not provide an injective function from  $\Lambda_c$  into  $\mathbb{N}$ . But, during the execution of a given process, it is extremely improbable that two different terms with the same signature occur ; therefore, we consider such an event as impossible. And it is all we need in order to realize the axiom of dependent choice.

### The clock

Here is another possible interpretation for the instructions  $\chi$  and  $\chi^*$ .

We observe that the application  $n \mapsto \tau_n$  may be any *surjective* function from  $\mathbb{N}$  onto  $\Lambda_c$ . Then the reduction rule of  $\chi^*$  is :

$$\chi^* \star t.\pi > t \star \underline{n}.\pi.$$

where  $n$  is any integer such that  $\tau_n = t$ . This suggests the following interpretation :

$\chi^*$  is an input instruction ; when it comes in head position, the process  $\chi^* \star t.\pi$  waits for an integer  $n$  which is provided by a human operator or some external process. Then the reduction  $\chi^* \star t.\pi > t \star \underline{n}.\pi$  takes place and the execution goes on. The only condition is that we must be able to *retrieve  $t$  from  $n$*  ; in other words, the integers which are provided to the processes  $\chi^* \star t.\pi$  and  $\chi^* \star t'.\pi'$  with  $t \neq t'$  must be different.

A very simple and natural way to obtain this behavior is by providing the integer  $n$  by means of a *clock*, since two different  $\lambda_c$ -terms cannot appear at the same time. In other words, let us be given a second process, which is executed in parallel with the principal process, and which only increments an integer at each reduction step. It is this process which provides the integer  $n$  when necessary, that is when  $\chi^*$  arrives in head position in the principal process. For an example of a model with a clock, look at the section below : “ The standard generic model ”.

### A program for the countable choice axiom

We give here an explicit  $\lambda_c$ -term, containing the instruction  $\chi$ , which realizes the axiom of countable choice and we explain its behaviour.

In the proof of theorem 31, we defined a function  $U : \mathbb{N}^3 \rightarrow \mathcal{P}(\Pi)$  (ternary predicate).

We define now the binary predicate  $V(x, y)$  by putting :

$$V(x, y) \equiv \forall n \{ \bigcap_{m < n} |\{m\} \rightarrow F_U(x, m)|, \{n\}, \neg F_U(x, n) \rightarrow U(x, n, y) \}.$$

We use the notations  $F_U(x, n)$  for  $F[x, U(x, n, y)/Xy]$  and  $F_V(x)$  for  $F[x, V(x, y)/Xy]$  ; the notation  $\{m\} \rightarrow F_U(x, m)$  is defined page 3.

We propose to realize the formula  $F_V(x) \rightarrow \forall X F[x, X]$ , which is the axiom of denumerable choice. Now, we have :

**Theorem 35** (Extensionality scheme).

i) For every formula  $G[X]$ , the formula :  $Ext[G] \equiv \forall X \{G[X] \rightarrow G^*[X]\}$  is provable in intuitionistic second order logic, with :

$$G^*[X] \equiv \forall U \{ \forall y (Xy \rightarrow Uy), \forall y (Uy \rightarrow Xy) \rightarrow G[Uy/Xy] \}.$$

ii)  $\vdash \lambda g \lambda u \lambda u' \lambda v \lambda v' ((g) v \circ u) u' \circ v' : Ext[G^*]$ .

i) This is immediate, by recurrence on  $G$ .

ii) We have  $g : G^*[X]$ ,  $u : \forall y (Xy \rightarrow Uy)$ ,  $u' : \forall y (Uy \rightarrow Xy)$ ,

$v : \forall y (Uy \rightarrow Vy)$ ,  $v' : \forall y (Vy \rightarrow Uy) \vdash v \circ u : \forall y (Xy \rightarrow Vy)$ ,  $u' \circ v' : \forall y (Vy \rightarrow Xy)$ ,

and therefore  $((g) v \circ u) u' \circ v' : G[Vy/Xy]$ .

C.Q.F.D.

**Remark.**  $Ext[G]$  tells us that the formulas  $G$  and  $G^*$  are equivalent. The  $\lambda$ -term which is associated with the proof of this equivalence depends, in general, on  $G$ . It does not depend on it for formulas of the form  $G^*$ .

Therefore we shall, in fact, realize the denumerable axiom of choice in the form :

$$F_V^*(x) \rightarrow \forall X F[x, X]$$

where  $F_V^*(x)$  is the formula  $F^*[x, V(x, y)/Xy]$  that is to say :

$$\forall X \{ \forall y (V(x, y) \rightarrow Xy), \forall y (Xy \rightarrow V(x, y)) \rightarrow F[x, X] \}.$$

A trivial variant of theorem 31 gives :

$$\chi \Vdash \forall x \{ \forall n | \{ \underline{n} \} \rightarrow F_U(x, n) | \rightarrow \forall X F[x, X] \}$$

(we replaced  $Int[n]$  with  $\{ \underline{n} \}$ , which has the advantage to avoid the introduction of a storage operator).

Therefore, we have now to realize :  $F_V^*(x) \rightarrow \forall n | \{ \underline{n} \} \rightarrow F_U(x, n) |$ .

We have seen (corollary 27) that, if  $Y$  is the fixed point combinator of Turing, then :

$$Y \Vdash \forall X \{ \forall n ( \bigcap_{m < n} | X m | \rightarrow X n ) \rightarrow \forall n X n \}.$$

It follows that, by replacing  $X n$  with  $| \{ \underline{n} \} \rightarrow F_U(x, n) |$ , we have :

$$Y \Vdash \forall n ( \bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \} \rightarrow F_U(x, n) ) \rightarrow \forall n | \{ \underline{n} \} \rightarrow F_U(x, n) |.$$

So, we must now realize :  $F_V^*(x), \bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \} \rightarrow F_U(x, n)$ .

By the law of Peirce, it is sufficient to realize :

$$F_V^*(x), \bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n) \rightarrow F_U(x, n) \text{ which is :}$$

$$\forall X \{ \forall y ( V(x, y) \rightarrow X y ), \forall y ( X y \rightarrow V(x, y) ) \rightarrow F[x, X] \},$$

$$\bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n) \rightarrow F_U(x, n).$$

But  $n$  is now a fixed integer, so that we can put  $X y \equiv U(x, n, y)$  ; our goal is now :

$$\{ \forall y ( V(x, y) \rightarrow U(x, n, y) ), \forall y ( U(x, n, y) \rightarrow V(x, y) ) \rightarrow F_U(x, n) \},$$

$$\bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n) \rightarrow F_U(x, n).$$

It is clearly a consequence of the two following formulas, that we have therefore to realize :

$$\bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n) \rightarrow ( V(x, y) \rightarrow U(x, n, y) )$$

$$\bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n) \rightarrow ( U(x, n, y) \rightarrow V(x, y) ).$$

By definition of  $V$ , it is immediate that the first formula is realized by  $\lambda x \lambda n \lambda k \lambda l \nu \nu x n k$ .

**Lemma 36.** *There exists a closed usual  $\lambda$ -term, denoted by  $Comp$ , such that, for every  $n, n' \in \mathbb{N}$  :  $Comp \underline{n} \underline{n'} x y z > x$  if  $n < n'$  ;  $Comp \underline{n} \underline{n'} x y z > y$  if  $n' < n$  ;  $Comp \underline{n} \underline{n'} x y z > z$  if  $n = n'$ .*

Trivial.

C.Q.F.D.

It follows that, if we put  $\alpha = (k)(x')n$  and  $\alpha' = (k')(x)n'$ , we have :

$$\lambda x \lambda n \lambda k \lambda x' \lambda n' \lambda k' Comp n n' \alpha \alpha'$$

$$\Vdash \bigcap_{m < n} | \{ \underline{m} \} \rightarrow X m |, \{ \underline{n} \}, \neg X n, \bigcap_{m' < n'} | \{ \underline{m'} \} \rightarrow X m' |, \{ \underline{n'} \}, \neg X n' \rightarrow ( Y n \rightarrow Y n' ).$$

By replacing  $X n$  with  $F_U(x, n)$  and  $Y n$  with  $U(x, n, y)$ , we see that we have realized :

$$\bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n), \bigcap_{m' < n'} | \{ \underline{m'} \} \rightarrow F_U(x, m') |, \{ \underline{n'} \}, \neg F_U(x, n') \rightarrow ( U(x, n, y) \rightarrow U(x, n', y) )$$

which, by the definition of  $V$ , can also be written as :

$$\lambda x \lambda n \lambda k \lambda u \lambda x' \lambda n' \lambda k' Comp n n' \alpha \alpha' u$$

$$\Vdash \bigcap_{m < n} | \{ \underline{m} \} \rightarrow F_U(x, m) |, \{ \underline{n} \}, \neg F_U(x, n) \rightarrow ( U(x, n, y) \rightarrow V(x, y) ).$$

This is exactly the desired result and we obtain finally the following term which realizes the axiom of denumerable choice :

**Theorem 37.** *There exists a binary predicate  $V : \mathbb{N}^2 \rightarrow \mathcal{P}(\Pi)$  such that :*

$$\lambda f(\chi)(Y) \lambda x \lambda n (cc) \lambda k f \tau_0 \tau_1 \Vdash \forall X \{ \forall y ( V(x, y) \leftrightarrow X y ) \rightarrow F[x, X] \} \rightarrow \forall X F[x, X]$$

with  $\tau_0 = \lambda \nu \nu x n k$ ,  $\tau_1 = \lambda u \lambda x' \lambda n' \lambda k' Comp n n' \alpha \alpha' u$ ,  $\alpha = (k)(x')n$  and  $\alpha' = (k')(x)n'$ .



Let us look at the behaviour of the term  $\gamma = \lambda f(\chi)(Y)\lambda x\lambda n(cc)\lambda k f\tau_0\tau_1$ . Consider a process  $\gamma \star f.\pi$  in which  $\gamma$  is in head position. We have :

$\gamma \star f.\pi > \chi \star Y\xi.\pi$  (where  $\xi = \lambda x\lambda n(cc)\lambda k f\tau_0\tau_1$  depends only on  $f$ )

$> Y\xi \star n_\pi.\pi > \xi \star \eta.n_\pi.\pi$  (with  $\eta = Y\xi$ ). Therefore

$$\gamma \star f.\pi > f \star \tau_0^{f\pi}.\tau_1^{f\pi}.\pi$$

with  $\tau_i^{f\pi} = \tau_i[\eta/x, n_\pi/n, k_\pi/k]$ .

Now  $\tau_0^{f\pi}$  is simply the triple  $\langle \eta, n_\pi, k_\pi \rangle$ . In other words  $\tau_0^{f\pi}$  stores the current state  $f.\pi$  at the present execution of  $\gamma$ .

$\tau_1^{f\pi}$  performs the real job : it looks at two such states  $f.\pi$  and  $f'.\pi'$  and compare their indexes  $n$  and  $n'$ . If  $n = n'$  it does nothing.

If  $n < n'$  (resp.  $n' < n$ ) it restarts with  $f' \star \tau_0^{f'\pi'}.\tau_1^{f'\pi'}.\pi'$  (resp.  $f \star \tau_0^{f\pi}.\tau_1^{f\pi}.\pi$ ) : the second file with the first stack.

Thus, the main function of this program is to update files (if  $\chi$  is a clock) or to choose a good version of a file (if  $\chi$  is a signature).

### Non standard integers

We give here sufficient conditions in order that every generic model contains non standard individuals. Let us define a unary predicate  $G$  by putting  $\|Gn\| = \{\pi_n\}$  ( $n \mapsto \pi_n$  is here a one-to-one recursive function from  $\mathbb{N}$  onto  $\Pi$ ).

#### Theorem 38.

i) We suppose that :

$(H_\perp)$  For each stack constant  $\omega$ , there exists a proof-like term  $\xi$  such that  $\xi \star \omega \in \perp$ .

Then  $I \Vdash \forall x Gx \rightarrow \perp$  and  $(\forall n \in \mathbb{N})(\exists \xi \in \text{QP}) \xi \Vdash Gn$ .

ii)  $\chi \Vdash \forall x [\text{Int}(x) \rightarrow Gx] \rightarrow \perp$ .

i) We have immediately  $\|\forall x Gx\| = \{\pi_n; n \in \mathbb{N}\} = \Pi$  and therefore  $I \Vdash \forall x Gx \rightarrow \perp$ .

We are looking at some  $\xi \in \text{QP}$  such that  $\xi \star \pi_n \in \perp$ . Now, we have  $\pi_n = t_0 \dots t_k.\omega$ ,  $\omega$  being a stack constant. By  $(H_\perp)$ , we have some  $\eta \in \text{QP}$  such that  $\eta \star \omega \in \perp$ . It is sufficient to set  $\xi = \lambda x_0 \dots \lambda x_k \eta$ .

ii) Let  $t \Vdash \forall x [\text{Int}(x) \rightarrow Gx]$  and  $\pi \in \Pi, \pi = \pi_n$ . We must show that  $\chi \star t.\pi \in \perp$  that is  $t \star \underline{n}.\pi \in \perp$ , by the reduction rule of  $\chi$ . This is immediate, by hypothesis on  $t$ , since  $\underline{n} \Vdash \text{Int}(n)$  and  $\pi \in \|Gn\|$ .

C.Q.F.D.

Thus, with hypothesis  $(H_\perp)$ , a generic model satisfies  $Gn$  for each standard integer  $n$  and also  $\exists x \neg Gx$ . It contains therefore *necessarily* non standard individuals. If, moreover, the instruction  $\chi$  is present, it satisfies  $\exists x \{\text{Int}(x), \neg Gx\}$ . Then, it contains *necessarily* non standard integers.

## The standard generic model

Consider a fixed one-to-one recursive function  $\xi \mapsto \pi_\xi$  from QP (the set of proof-like terms) onto the set of stack constants. Every set  $\perp$  such that  $(\forall \xi \in \text{QP}) \xi \star \pi_\xi \notin \perp$  is clearly *coherent*,

which means that  $(\forall \xi \in \text{QP}) \xi \not\vdash \perp$ .

In this section, we take for  $\perp$  the greatest saturated set of processes which has this property. In fact, it is simpler to consider the complement  $\perp^c$  of  $\perp$  in the set  $\Lambda_c \star \Pi$  of processes. Therefore, we set :

$\perp^c =$  the least subset  $U$  of  $\Lambda_c \star \Pi$  such that  $(\forall \xi \in \text{QP}) \xi \star \pi_\xi \in U$  and  $(\forall p \in U)(\forall p' \in \Lambda_c \star \Pi)(p > p' \Rightarrow p' \in U)$  ;

For each  $\xi \in \text{QP}$ , the set of processes which are obtained by reduction of  $\xi \star \pi_\xi$  will be called the *thread generated by  $\xi$*  ;  $\perp^c$  is thus the union of all threads. We have :

**Lemma 39.** *A process is in  $\perp$  if and only if it does not appear in any thread.*

*A term  $t \in \Lambda_c$  realizes  $\perp$  if and only if it does not come in head position in any thread.*

Trivial.

C.Q.F.D.

Remember that  $\mathcal{T}_\perp$  is the set of closed formulas with parameters which are realized by some proof-like term. The models of this theory (which is coherent if and only if  $\perp$  is) are called *generic models*. With this particular choice of  $\perp$ , we shall call them *standard generic models*. We now show some properties of these models, with the following assumptions :

1. There is no instruction which changes the current stack constant. In other words, in the thread generated by  $\xi \in \text{QP}$ , the only stack constant which appears is  $\pi_\xi$ .
2. Every instruction is deterministic, that is to say that each process has at most one successor. We then say that execution is *sequential*.

**Theorem 40.** *Let  $a_0 = \delta\delta 0$  and  $a_1 = \delta\delta 1$ , with  $\delta = \lambda x x x$ . Then :*

$\lambda x (\text{cc}) \lambda k ((x)(k) a_0)(k) a_1 \Vdash \forall x (x \neq 1, x \neq 0 \rightarrow x^2 \neq x) \rightarrow \perp$ .

There are three possible values for  $\|n \neq 1, n \neq 0 \rightarrow n^2 \neq n\|$  :

if  $n = 0$ , it is  $\|\top, \perp \rightarrow \perp\|$  ; if  $n = 1$ , it is  $\|\perp, \top \rightarrow \perp\|$  ; if  $n \neq 0, 1$ , it is  $\|\top\| = \emptyset$ .

It follows that  $|\forall x (x \neq 1, x \neq 0 \rightarrow x^2 \neq x)| = |\top, \perp \rightarrow \perp| \cap |\perp, \top \rightarrow \perp|$ .

Let  $t \in |\top, \perp \rightarrow \perp| \cap |\perp, \top \rightarrow \perp|$  and  $\pi \in \Pi$ . We must show that :

$\lambda x (\text{cc}) \lambda k ((x)(k) a_0)(k) a_1 \star t.\pi \in \perp$  that is  $t \star k_\pi a_0.k_\pi a_1.\pi \in \perp$ . If it is not true, by hypothesis on  $t$ , we have  $k_\pi a_0, k_\pi a_1 \not\vdash \perp$ . Therefore, both terms appear in head position in some thread ; since they both contain the stack constant at the bottom of  $\pi$ , these threads are the same one (by hypothesis 1 above). But then  $a_0$  and  $a_1$  appear in head position in the same thread. Now, by hypothesis 2, one of them appears in head position before the other, for instance  $a_0$ . But it is clear that  $a_1$  can never come in head position after that.

C.Q.F.D.

The formula  $\exists x \{x^2 = x, x \neq 0, x \neq 1\}$  is therefore satisfied in the generic model. Thus, there are individuals which are not integers and the axiom of recurrence is not satisfied. We shall see later that there are also non standard integers.

Let  $\mathcal{B}$  be the set of individuals  $x$  such that  $x^2 = x$ . Since all equational formulas which are true in  $\mathbb{N}$  are also true in generic models, it is clear that  $\mathcal{B}$  is a Boolean algebra. Theorem 40 shows that, in the standard generic model, this algebra is  $\neq \{0, 1\}$ .

**Theorem 41.**  *$I \Vdash \forall x [x^2 = x, x \neq 1 \rightarrow x \simeq 0]$  ; thus, in every generic model, no element of  $\mathcal{B}$  except 1 is a successor.*

We have  $|n^2 = n, n \neq 1 \rightarrow n \simeq 0| = \top$  if  $n = 0$ ,  $|\forall X(X \rightarrow X)|, \perp \rightarrow \perp$  if  $n = 1$  and  $(\top \rightarrow \perp), \top \rightarrow \perp$  if  $n > 1$ . Hence the result.

C.Q.F.D.

We now show that, *in the standard generic model*, the algebra  $\mathcal{B}$  is infinite and even *atomless*.

**Theorem 42.** *Let  $\Theta = \lambda x \lambda y c c \lambda k((x)(k)y0)((x)(k)y1)(k)y2$ . Then we have :*

$\Theta \Vdash \forall x[\forall y(xy \neq 0, xy \neq x \rightarrow y^2 \neq y), x \neq 0 \rightarrow x^2 \neq x]$

(This formula says that the Boolean algebra  $\mathcal{B}$  is atomless).

By a simple computation, we see that we must show the following two properties :

i)  $\Theta \Vdash (\perp, \perp \rightarrow \perp), \perp \rightarrow \perp$ .

ii)  $\Theta \Vdash |\top, \perp \rightarrow \perp| \cap |\perp, \top \rightarrow \perp|, \top \rightarrow \perp$ .

Proof of (i) : let  $t \in |\perp, \perp \rightarrow \perp|$  and  $u \in |\perp|$ . We have to show that  $\Theta \star t.u.\pi \in \perp$  that is, by reduction,  $t \star k_\pi u0.((t)(k_\pi)u1)(k_\pi)u2.\pi \in \perp$ . But, from  $u \Vdash \perp$ , we easily deduce that  $k_\pi u\xi \Vdash \perp$  for every  $\xi \in \Lambda_c$ . Since  $t \Vdash \perp, \perp \rightarrow \perp$ , it follows that  $((t)(k_\pi)u1)(k_\pi)u2 \Vdash \perp$  and therefore  $t \star k_\pi u0.((t)(k_\pi)u1)(k_\pi)u2.\pi \in \perp$ .

Proof of (ii) : let  $t \in |\top, \perp \rightarrow \perp| \cap |\perp, \top \rightarrow \perp|$  and  $u \in \Lambda_c$ . Again, we have to show that  $t \star k_\pi u0.((t)(k_\pi)u1)(k_\pi)u2.\pi \in \perp$ . If it is not true, we have :

$k_\pi u0 \not\Vdash \perp$  (because  $t \Vdash \perp, \top \rightarrow \perp$ ) and  $((t)(k_\pi)u1)(k_\pi)u2 \not\Vdash \perp$  (because  $t \Vdash \top, \perp \rightarrow \perp$ ). But, since  $t \Vdash \perp, \top \rightarrow \perp$  (resp.  $\top, \perp \rightarrow \perp$ ), we deduce  $k_\pi u1 \not\Vdash \perp$  (resp.  $k_\pi u2 \not\Vdash \perp$ ).

It follows that  $k_\pi u0, k_\pi u1, k_\pi u2$  appear all in head position in some thread. Since they contain  $k_\pi$ , these threads are the same (their stack constant is the one of  $\pi$ ). Suppose, for example, that  $k_\pi u0$  appears first in head position, then  $k_\pi u1$ , and then  $k_\pi u2$ . We have thus :

$k_\pi u0 \star \pi_0 > u \star \pi > \dots > k_\pi u1 \star \pi_1 > u \star \pi > \dots > k_\pi u2 \star \pi_2 > u \star \pi > \dots$

But such an execution is clearly impossible because, at the second appearance of the process  $u \star \pi$ , we enter in a loop and can never arrive at  $k_\pi u2 \star \pi_2$ .

C.Q.F.D.

## The generic thread

Consider a fixed one-to-one recursive function  $n \mapsto \xi_n$  from  $\mathbb{N}$  onto  $\text{QP}$ . We define a unary predicate  $P$  by taking for  $\|Pn\|$  the set of stacks the bottom constant of which is  $\pi_{\xi_n}$ .

**Theorem 43.** *In the generic model,  $\neg P$  contains exactly one individual and it is not a standard integer. In other words, the following formulas are realized :*

i)  $Pn$  for each  $n \in \mathbb{N}$  ;

ii)  $\forall x Px \rightarrow \perp$  ;

iii)  $\forall x \forall y [\neg Px, x \neq y \rightarrow Py]$ .

i) Let  $n \in \mathbb{N}$  be such that  $\delta\delta0 \not\Vdash Pn$  and  $\delta\delta1 \not\Vdash Pn$ . Thus, there exist  $\rho_0, \rho_1 \in \Pi$  which have the same stack constant  $\pi_{\xi_n}$ , such that  $\delta\delta0 \star \rho_0 \notin \perp$  and  $\delta\delta1 \star \rho_1 \notin \perp$ . We have therefore  $\xi_n \star \pi_{\xi_n} > \delta\delta0 \star \rho_0$  and  $\xi_n \star \pi_{\xi_n} > \delta\delta1 \star \rho_1$ , which is clearly impossible.

ii) We have  $\|\forall x Px\| = \bigcup_{n \in \mathbb{N}} \|Pn\| = \Pi$ . Therefore  $I \Vdash \forall x Px \rightarrow \perp$ .

iii) We show, by means of theorem 10, that  $\forall \lambda x \lambda y y \Vdash \forall x \forall y [\neg Px, x \neq y \rightarrow Py]$  that is to say  $\forall \lambda x \lambda y y \Vdash \neg Pm, m \neq n \rightarrow Pn$  for  $m, n \in \mathbb{N}$ . So, let  $\pi \in \|Pm\|$ ,  $t \Vdash m \neq n$  and  $\pi' \in \|Pn\|$  ; we must show that  $\lambda x \lambda y y \star k_\pi.t.\pi' \in \perp$ . This is obvious if  $m \neq n$ , since then the stacks  $\pi$  and  $\pi'$ ,

which have different stack constants, cannot appear both in the same thread. If  $m = n$ , we have  $t \Vdash \perp$ , which give the desired result, because  $\lambda x \lambda y y \star k_\pi. t. \pi' > t \star \pi'$ .

C.Q.F.D.

We now add to the  $\lambda_c$ -calculus an instruction  $\sigma$  with the following reduction rule :

$$\sigma \star t. \pi > t \star \underline{n}. \pi$$

where  $n$  is the number of the stack constant of  $\pi$  (this constant is therefore  $\pi_{\xi_n}$ ).

**Theorem 44.**  $\sigma \Vdash \forall x[Int(x) \rightarrow Px] \rightarrow \perp$ .

In the generic model, the unique individual which satisfies  $\neg Px$  is therefore an integer. By theorem 43, it is a *non standard integer*.

**Proof.** Let  $t \Vdash \forall x[Int(x) \rightarrow Px]$  and  $\pi \in \Pi$ . We must show that  $\sigma \star t. \pi \in \perp$  ; it is sufficient to prove that  $t \star \underline{n}. \pi \in \perp$ ,  $n$  being the number of the stack constant of  $\pi$ . Therefore, we have  $\pi \in \|Pn\|$  and  $t \Vdash Int(n) \rightarrow Pn$ . Hence the result, since  $\underline{n} \Vdash Int(n)$ .

C.Q.F.D.

We can therefore add to our second order language a symbol of constant  $g$  (for *generic*), with the following axioms :

$Int(g), \forall x[x \neq g \leftrightarrow Px], g \neq n$  for each integer  $n$ .

The predicate  $Px$  will be denoted by  $x \neq g$  ; thus  $\|n \neq g\|$  is, for each integer  $n$ , the set of stacks which have  $\pi_{\xi_n}$  as their stack constant.

It is interesting to study the properties of the (non standard) proof-like  $\lambda_c$ -term  $\xi_g$  and of the thread  $\xi_g \star \pi_{\xi_g}$ , which will be, rather naturally, called the *generic thread*.

**Remark.** With the help of the instruction  $\sigma$ , we can therefore prove the existence of a non standard integer. By means of the second fixed point theorem of  $\lambda$ -calculus, we can do without this instruction, but we have a somewhat weaker result :

**Theorem 45.**  $(\forall \theta \in QP)\theta \not\Vdash \forall x[Int(x) \rightarrow Px]$ .

Let  $\Delta : \mathbb{N} \rightarrow \mathbb{N}$  be the recursive function defined by  $\Delta m = n \Leftrightarrow \xi_n = \xi_m \underline{m}$  and let  $\delta$  be a closed  $\lambda$ -term which represents this function. We define the integers  $p, q$  by setting  $\xi_p = \lambda x(\theta)(\delta)x$  and  $q = \Delta p$ . We have therefore  $\xi_q \star \pi_{\xi_q} = \xi_p \underline{p} \star \pi_{\xi_q} > \theta \star \delta \underline{p}. \pi_{\xi_q}$ , which shows that  $\theta \star \delta \underline{p}. \pi_{\xi_q} \notin \perp$ . Now, we have  $\pi_{\xi_q} \in \|Pq\|$  and, by theorem 16,  $\delta \underline{p} \Vdash Int(q)$ . It follows that  $\theta \not\Vdash Int(q) \rightarrow Pq$ .

C.Q.F.D.

## The clock

With the present definition of  $\perp$ , in order to add a new instruction  $\iota$ , it is sufficient to give its reduction rule only when it comes in head position *in a thread*. Indeed,  $\perp$  is the complement of the union of all threads and the only condition it has to satisfy is to be *cc-saturated* (see the section “ Truth values and types ”). It is therefore sufficient to define each thread, i.e. the sequence of processes obtained by the execution of  $\xi \star \pi_\xi$ , for each proof-like term  $\xi$  (possibly containing the instruction  $\iota$ ).

It is in this way that we define *the clock instruction*  $\bar{h}$ . Its reduction rule is

$\bar{h} \star t. \pi > t \star \underline{n}. \pi$  where  $n \in \mathbb{N}$  is obtained in the following way : let  $\xi \star \pi_\xi$  be the (unique) thread in which the process  $\bar{h} \star t. \pi$  appears. Then  $n$  is the number of reduction steps from  $\xi \star \pi_\xi$  (“ the boot ”) to  $\bar{h} \star t. \pi$  (if  $\bar{h} \star t. \pi$  appears more than once in the thread  $\xi \star \pi_\xi$ , we consider *its first appearance* ; notice that, in this case, the execution ends in a loop).

**Definition.** A proof-like term  $\theta$  will be called *strongly solvable* iff  $\theta \Vdash \perp \rightarrow \perp$ . In other words : for every  $t \in \Lambda_c \setminus \text{QP}$ , if  $\theta \star t$  comes in head position in a thread, then  $t$  also comes in head position in this thread.

When  $\theta$  is a closed usual  $\lambda$ -term, this means that it has a head normal form which is  $\lambda x x t_1 \dots t_n$  (hence the terminology “strongly solvable”).

A proof-like term  $\theta$  will be called *solvable* iff there exists  $n \geq 1$  such that  $\lambda x \theta x \dots x$  is strongly solvable ( $x$  repeated  $n$  times).

The following theorem shows that *every standard (strongly) solvable proof-like term comes in head position in the generic thread*.

**Theorem 46.** *Let  $\theta$  be a strongly solvable proof-like term and  $\phi_\theta : \mathbb{N}^2 \rightarrow \{0, 1\}$  the recursive function such that :  $\phi_\theta(n, p) = 1 \Leftrightarrow \theta$  comes in head position in the thread  $\xi_p \star \pi_{\xi_p}$  at the  $(n+4)$ -th step. Then  $\hbar \lambda x \lambda y (\theta)(y)x \Vdash \forall p \{ \forall n [ \text{Int}(n) \rightarrow \phi_\theta(n, p) \neq 1 ] \rightarrow p \neq g \}$  (in other words,  $\exists n \{ \text{Int}(n), \phi_\theta(n, g) = 1 \}$ ).*

Let  $p \in \mathbb{N}$ ,  $\pi \in \parallel p \neq g \parallel$  and  $t \Vdash \forall n [ \text{Int}(n) \rightarrow \phi_\theta(n, p) \neq 1 ]$ . We prove the result by contradiction : suppose that  $\hbar \lambda x \lambda y (\theta)(y)x \star t.\pi \notin \perp$ . Therefore, this process appears in a thread, which is certainly  $\xi_p \star \pi_{\xi_p}$  since  $\pi \in \parallel p \neq g \parallel$ . We have thus :

$\xi_p \star \pi_{\xi_p} > \hbar \lambda x \lambda y (\theta)(y)x \star t.\pi > \theta \star t \underline{n}.\pi$ , where the integer  $n$ , which is provided by  $\hbar$ , is the number of steps in the reduction of  $\xi_p \star \pi_{\xi_p}$  until  $\hbar \lambda x \lambda y (\theta)(y)x \star t.\pi$ . Thus, we obtain  $\theta \star t \underline{n}.\pi$  at the  $(n+4)$ -th step of reduction. Since  $\theta$  is in head position at this moment, we have  $\phi_\theta(n, p) = 1$ . By hypothesis on  $t$ , it follows that  $t \underline{n} \Vdash \perp$ . Now, by hypothesis,  $\theta \Vdash \perp \rightarrow \perp$  and therefore  $\theta \star t \underline{n}.\pi \in \perp$ . It is a contradiction, because  $\theta \star t \underline{n}.\pi$  appears in the thread  $\xi_p \star \pi_{\xi_p}$ .

C.Q.F.D.

**Corollary 47.** *Let  $\theta$  be a proof-like term such that  $\theta \hat{m}$  is strongly solvable for each integer  $m$  (with  $\hat{m} = s^m 0$ ) and let  $\psi_\theta : \mathbb{N}^3 \rightarrow \{0, 1\}$  be the recursive function defined by  $\psi_\theta(m, n, p) = 1$  iff  $\theta \hat{m}$  comes in head position at the  $(n+4)$ -th step in the thread  $\xi_p \star \pi_{\xi_p}$ . Then we have :*

*$T \lambda m \hbar \lambda x \lambda y (\theta m)(y)x \Vdash \forall p \forall m \{ \text{Int}(m), \forall n [ \text{Int}(n) \rightarrow \psi_\theta(m, n, p) \neq 1 ] \rightarrow p \neq g \}$  (in other words  $\forall m ( \text{Int}(m) \rightarrow \exists n \{ \text{Int}(n), \psi_\theta(m, n, g) = 1 \} )$ ).*

It means that the following formula is realized : “ for every integer  $m$ ,  $\theta \hat{m}$  comes in head position in the generic thread  $\xi_g \star \pi_{\xi_g}$  ”. Therefore also the formula : “ the generic thread neither stops nor loops ” (take, for instance,  $\theta = \lambda x \lambda y y$ ).

**Proof.** By theorem 11, it is sufficient to prove that, for every integers  $m, p$  and every stack  $\rho \in \parallel \forall n [ \text{Int}(n) \rightarrow \psi_\theta(m, n, p) \neq 1 ] \rightarrow p \neq g \parallel$ , we have :

$\lambda m \hbar \lambda x \lambda y (\theta m)(y)x \star \hat{m}.\rho \in \perp$ , that is  $\hbar \lambda x \lambda y (\theta \hat{m})(y)x \star \rho \in \perp$ . But this results from theorem 46 and from the fact that  $\psi_\theta(m, n, p) = \phi_{\theta \hat{m}}(n, p)$ .

C.Q.F.D.

### The denumerable axiom of choice

Here we check that, by means of the clock instruction  $\hbar$ , we can realize the axiom of denumerable choice.

**Theorem 48.** *Let  $F[x, X]$  be a formula with parameters,  $X$  being a unary predicate variable. There exists  $\Phi : \mathbb{N}^4 \rightarrow \mathcal{P}(\Pi)$  such that :*

*$\hbar \Vdash \forall x \forall p \forall X \{ \forall n ( \text{Int}[n], F[x, \Phi(n, p, x, y) / Xy] \rightarrow \perp ), F[x, X] \rightarrow p \neq g \}$ .*

We define  $v : \mathbb{N}^2 \rightarrow \Lambda_c$  by putting :  $v(n, p) =$  the  $\lambda_c$ -term  $u$  which is in second position in the stack, at the  $n$ -th execution step in the thread  $\xi_p \star \pi_{\xi_p}$ . At the  $n$ -th step of this execution, we have therefore a process of the form  $\tau \star t.u.\pi$ .

We define now  $\Phi(n, p, x, y)$ , by means of the denumerable axiom of choice, in such a way that :

If there exists  $X : \mathbb{N} \rightarrow \mathcal{P}(\Pi)$  such that  $v(n, p) \Vdash F[x, X]$  then  $v(n, p) \Vdash F[x, \Phi(n, p, x, y)/Xy]$ .

We show that  $\Phi$  has the desired property : consider  $x, p \in \mathbb{N}$ , an application  $X : \mathbb{N} \rightarrow \mathcal{P}(\Pi)$ ,  $\lambda_c$ -terms  $t, u$  such that  $t \Vdash \forall n(Int[n], F[x, \Phi(n, p, x, y)/Xy] \rightarrow \perp)$ ,  $u \Vdash F[x, X]$  and a stack  $\pi \in \parallel p \neq g \parallel$ . Then, we have to show that  $\tilde{h} \star t.u.\pi \in \perp$  ; suppose, on the contrary, that  $\tilde{h} \star t.u.\pi$  appears in a thread, at the  $n$ -th step. By hypothesis on  $\pi$ , this thread is  $\xi_p \star \pi_{\xi_p}$  ; we have therefore  $u = v(n, p)$ , by definition of  $v$ , hence  $v(n, p) \Vdash F[x, X]$ . By definition of  $\Phi$ , we have then  $u = v(n, p) \Vdash F[x, \Phi(n, p, x, y)/Xy]$ . But, since  $\underline{n} \Vdash Int[n]$ , it follows that  $t \star \underline{n}.u.\pi \in \perp$ , by hypothesis on  $t$ . It is a contradiction, because this process appears at the  $(n + 1)$ -th step in the thread  $\xi_p \star \pi_{\xi_p}$ .

C.Q.F.D.

It follows that the standard generic model satisfies the formula :

$\forall x \forall X (F[x, X] \rightarrow \exists n \{Int[n], F[x, \Phi(n, g, x, y)/Xy]\})$ . We can then define the binary predicate  $\Psi(x, y)$  by the formula :

“  $\Phi(n, g, x, y)$  for the first integer  $n$  such that  $F[x, \Phi(n, g, x, y)/Xy]$ , or  $\perp$  if there is no such integer ”. Then, we have, in the generic model :

$\forall x \forall X (F[x, X] \rightarrow F[x, \Psi(x, y)/Xy])$ , which gives the denumerable axiom of choice.

By the same methods as above, we can also easily get the axiom of dependent choice.

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